

**Board of Directors Regular Meeting Agenda
October 29, 2026, 2:00 p.m.
Leucadia Wastewater District, Board Room
1960 La Costa Ave, Carlsbad, CA 92009**

Members of the public can observe the livestream of the meeting via Teams by clicking:

<https://teams.microsoft.com/meet/247208529041647?p=So3AuESGY5ew0GFJcv>

or telephonically by dialing:

[+1 312-625-2555,,779604592#](tel:+13126252555779604592)

Phone Conference ID: 779 604 592#

Members of the public can provide public comment in writing or orally in person as follows:

Written Comments: If you are unable to participate in person and you wish to make a comment, you may submit written comments prior to and during the meeting via email to: clerk@thecleanenergyalliance.org. All written comments will be posted online and become part of the meeting record. To ensure announcement of receipt of your written comments during the meeting, please submit all written comments by 12:00 p.m. prior to the commencement of the meeting. Public comments received in writing will not be read aloud at the meeting.

Oral Comments: Members of the public can address the Board on items on the agenda at the time the item is being addressed or during Public Comments for topics that are not listed on the agenda. Speakers are limited to three (3) minutes each. In conformance with the Brown Act, no Board action can occur on items presented during Public Comment.

If you desire to speak during Public Comment, please fill out a speaker card and submit it to the Board Secretary. When you are called to speak, please come forward to the podium and state your name. To address the Board regarding an item on the agenda, please fill out a speaker card and submit it to the Board Secretary before the Board Chair announces the item.

CALL TO ORDER

ROLL CALL

FLAG SALUTE

BOARD COMMENTS & ANNOUNCEMENTS

PUBLIC COMMENT

APPROVAL OF MINUTES

Minutes of the Regular Meeting held July 30, 2026

Minutes of the Regular Meeting held September 24, 2026

Closed Session

- Item 1: PUBLIC EMPLOYEE PERFORMANCE EVALUATION PURSUANT TO GOVERNMENT CODE SECTION 54957**

Title: Chief Executive Officer

Presentations:

Short- and Long-Term Renewable Energy, GHG-Free Contracting Options and CEA's Targets

Consent Calendar

- Item 2: Clean Energy Alliance Treasurer's Report for August 2026**

RECOMMENDATION:

Receive and file the Clean Energy Alliance Treasurer's Report for August 2026.

- Item 3: Consider Adoption of Resolution No. 2026-048 Approving Amendments to the 10-Year Confirmations with Desert Community Energy for Long-Term RPS Energy and Green Attributes and Authorizing Execution of those Amendments**

RECOMMENDATION:

Adopt Resolution No. 2026-048 approving Amendments to the 10-Year Confirmations with Desert Community Energy (DCE) For Long-Term RPS Energy and Green Attributes and Authorizing Execution of those Amendments.

- Item 4: Consideration of Resolution No. 2026-049 Adopting the Illness and Injury Prevention Program (CEA-028) and Workplace Violence Prevention Plan (CEA-029) Policies**

RECOMMENDATION:

Adopt Resolution No. 2026-049 adopting an Illness and Injury Prevention Program Policy (CEA-028) and a Workplace Violence Prevention Plan Policy (CEA-029) and authorizing the Chief Executive Officer to make future updates to such policies consistent with applicable law and the Amended CEA Joint Powers Agreement and in a form approved by General Counsel and reported to the Board.

- Item 5: Consider Adoption of Resolution No. 2026-050 Authorizing Clean Energy Alliance to Join the California Geothermal Coalition as a Founding Board Member and Authorizing Execution of Related Documents**

RECOMMENDATION:

Adopt Resolution No. 2026-050 authorizing CEA to join the prospective California Geothermal Coalition at the Board level and authorizing the Chief Executive Officer to execute documents in connection therewith in forms approved by General Counsel.

Reports

Item 6: Clean Energy Alliance Chief Executive Officer Operational and Administrative Report

RECOMMENDATION:

Receive and file the report.

Item 7: Risk Oversight Committee Report

RECOMMENDATION:

Receive and file the report.

New Business

Item 8: Regulatory Update

RECOMMENDATION:

Receive the Regulatory update from Keyes and Fox.

Item 9: Receive Annual Audited Financial Report for the Fiscal Year Ended June 30, 2026

RECOMMENDATION:

Receive and file the report.

Closed Session

Item 10: CONFERENCE WITH LEGAL COUNSEL - ANTICIPATED LITIGATION

Whether to initiate litigation pursuant to Government Code section 54956.9(d)(2)

Number of Cases: 1

BOARD MEMBER REQUESTS FOR FUTURE AGENDA ITEMS

NEXT MEETING: Regular Board Meeting November 19, 2026, Leucadia Wastewater District, 1960 La Costa Ave, Carlsbad, CA 92009.

If you are an individual with a disability and need a reasonable modification or accommodation pursuant to the Americans with Disabilities Act ("ADA"), please contact clerk@thecleanenergyalliance.org prior to the meeting for assistance.

**Board of Directors Regular Meeting Agenda
July 30, 2026, 2:00 p.m.
Leucadia Wastewater District, Board Room
1960 La Costa Ave, Carlsbad, CA 92009**

CALL TO ORDER: Chair Melendez called to order the Regular Meeting of the Clean Energy Alliance at 2:02 p.m.

ROLL CALL: Board Members: Spelich, Bhat-Patel, Nunez, Becker, Joyce, Garcia, Melendez.

FLAG SALUTE: Chair Melendez led the flag salute.

APPROVAL OF AGENDA: No changes.

BOARD COMMENTS & ANNOUNCEMENTS: None.

PUBLIC COMMENT: None

APPROVAL OF MINUTES

Minutes of the Regular Meeting held June 25, 2026

**Motion by Board Member Bhat-Patel, seconded by Board Member Nuñez, to approve the Consent Calendar.
Motion carried, 7/0.**

Consent Calendar

Item 1: Clean Energy Alliance Treasurer's Report for May 2026

RECOMMENDATION:

Receive and file the Clean Energy Alliance Treasurer's Report for May 2026.

Item 2: Amending the Clean Energy Alliance Position Control Listing and the Clean Energy Alliance Salary Schedule

RECOMMENDATION:

Adopt Resolution No. 2026-035 Amending the Clean Energy Alliance (CEA) Position Control Listing and the CEA Salary Schedule.

Item 3: Notice to Board – Adoption of Out-of-Region Remote Work Administrative Policy

RECOMMENDATION:

That the Clean Energy Alliance Board of Directors accept and receive this notice of adoption of an Out-of-Region Remote Work Administrative Policy.

Item 4: Approving the Professional Services Agreements with Chapman and Cutler LLP and Authorizing Execution of the Agreement

RECOMMENDATION:

Adopt Resolution No. 2026-036 approving the proposed Professional Services Agreements (Agreement) with Chapman and Cutler LLP to provide prepay and disclosure counsel services, and authorize the Chief Executive Officer to execute the Agreement.

**Motion by Board Member Bhat-Patel, seconded by Board Member Nuñez, to approve the Consent Calendar.
Motion carried, 7/0.**

Reports

Item 5: Clean Energy Alliance Chief Executive Officer Operational and Administrative Report

RECOMMENDATION:

Receive and file the report.

CEA Board received report.

Item 6: Programs Report

RECOMMENDATION:

Receive and file the report.

CEA Board received report.

Item 7: Risk Oversight Committee Report

RECOMMENDATION:

Receive and file the report.

CEA Board received report.

Public Hearings

**Item 8: Amending Clean Energy Alliance Rate Schedule to Update the Wholesale Market Access
Tariff Rate Effective August 1, 2026**

RECOMMENDATION:

- 1) Conduct the Public Hearing: Open the Public Hearing, Receive Public Testimony, and Close the Public Hearing
- 2) Approve Resolution No. 2026-037 Amending Clean Energy Alliance Rate Schedule to update the Wholesale Market Access Tariff Rate to \$.10000 per kWh Effective August 1, 2026.

Chair Melendez opened the Public Hearing at 2:35 p.m.

Finance Manager Kristina Byrd presented the item.

Chair Melendez closed the Public Hearing at 2:39 p.m.

Motion by Chair Melendez, seconded by Board Member Nuñez to close the public hearing and adopt Resolution No. 2026-037. Motion carried, 7/0.

New Business

Item 9: Regulatory Update

RECOMMENDATION:

Receive the Regulatory update from Keyes and Fox.

Keyes and Fox Partner Jake Schlesinger provided the update and answered questions from the Board.

Alternate Board Member Joyce left the dais at 2:42 p.m.

Alternate Board Member Joyce returned to the dais at 2:45 p.m.

CEA Board received the report.

Item 10: Awarding and Approval of 25-Year Energy Storage Service Agreement with GEM A-CAES LLC and Authorizing Finalization and Execution of the ESSA

RECOMMENDATION:

Adopt Resolution No. 2026-038 awarding and approving a 25-Year Energy Storage Service Agreement (ESSA) with GEM A-CAES LLC for CEA's 60MW portion of an advanced compressed air energy storage facility and authorizing the Chief Executive Officer to execute the ESSA.

Energy Contracts & Compliance Manager Sophia Alcaraz presented the report.

Board Member Kristi Becker left the dais at 2:56 p.m.

Board Member Kristi Becker returned to the dais at 2:58 p.m.

Energy Contracts & Compliance Manager Sophia Alcaraz answered questions regarding the contract negotiations.

Motion by Board Member Becker, seconded by Board Member Joyce to adopt Resolution No. 2026-038. Motion carried, 7/0.

Item 11: Legislative Update from Staff

RECOMMENDATION:

Receive the update.

Senior Regulatory Analyst Emily Fabick provided an update.

Board Member Bhat-Patel left the dais at 3:15 p.m.

Board Member Becker left the dais at 3:17 p.m.

Board Member Becker returned to the dais at 3:19 p.m.

Board Member Bhat-Patel returned to the dais at 3:19 p.m.

The Board discussed a potential extension of Diablo Canyon operations. Comments reflected varying viewpoints, including conditional support subject to safety, reliability, greenhouse gas reduction, and renewable energy considerations; concerns regarding consistency with the Agency's clean energy objectives; and interest in further Board discussion prior to establishing a position.

Board Member Spelich left the dais at 3:38 p.m.

Staff reported that additional information would be provided for future Board consideration. Legal counsel advised that individual Board input could be received and shared in compliance with the Brown Act. The Board also emphasized the importance of public outreach and transparency. Staff clarified that any legislative action extending Diablo Canyon operations would not require changes to the Agency's policies or Joint Powers Agreement and would not obligate the Agency to procure power from Diablo Canyon.

CEA Board received the report.

BOARD MEMBER REQUESTS FOR FUTURE AGENDA ITEMS: None

NEXT MEETING: The Regular Board Meeting is scheduled for August 27, 2026, Leucadia Wastewater District, 1960 La Costa Ave., Carlsbad, CA 92009.

ADJOURNMENT: Chair Melendez adjourned the meeting at 3:52 p.m.

Ana Marie Alarcon
Clerk to the Board/Executive Assistant

**Board of Directors Regular Meeting Agenda
September 24, 2026, 2:00 p.m.
Leucadia Wastewater District, Board Room
1960 La Costa Ave, Carlsbad, CA 92009**

CALL TO ORDER: Chair Melendez called to order the Regular Meeting of the Clean Energy Alliance at 2:00 p.m.

ROLL CALL: Board Members: Spelich, Figueroa, Becker, Melendez.
Board Member Garcia joined the meeting at 2:02 p.m.
Board Members Absent: Bhat-Patel, Nuñez

FLAG SALUTE: Chair Melendez led the flag salute.

APPROVAL OF AGENDA: Item #11 was moved to the Consent Calendar and Item #14 was cancelled.

Motion by Board Member Becker, seconded by Board Member Spelich, to approve the Consent Calendar.
Motion carried, 5/0/2 (Bhat-Patel, Nuñez - Absent).

BOARD COMMENTS & ANNOUNCEMENTS: None.

PUBLIC COMMENT: None

APPROVAL OF MINUTES

Consent Calendar

Item 1: Clean Energy Alliance Treasurer's Report for June 2026

RECOMMENDATION:

Receive and file the Clean Energy Alliance Treasurer's Report for June 2026.

Item 2: Clean Energy Alliance Treasurer's Report for July 2026

RECOMMENDATION:

Receive and file the Clean Energy Alliance Treasurer's Report for July 2026.

Item 3: Consideration of Resolution No. 2026-039 Approving Amendments to the Clean Energy Alliance Conflict of Interest Code

RECOMMENDATION:

Adopt Resolution No. 2026-039 approving amendments to the Clean Energy Alliance Conflict of Interest Code.

Item 4: Consider Adoption of Resolution No. 2026-040 Approving and Authorizing the Execution of a Joint Powers Agreement Providing Clean Energy Alliance Associate Membership to the

California Community Choice Financing Authority (CCCFA) to Issue Municipal Bonds for Energy Prepay Transactions

RECOMMENDATION:

Adopt Resolution No. 2026-040 approving and authorizing the execution of a Joint Powers Agreement providing CEA Associate Membership to the California Community Choice Financing Authority (CCCFA) to Issue Municipal Bonds for Energy Prepay Transactions.

- Item 5: Consideration of Resolution No. 2026-041 Attesting to the Veracity of the 2025 Power Source Disclosure Reports Addressing the Clean Impact, Clean Impact Plus, Green Impact and Wholesale Market Access Tariff Power Retail Electric Service Offerings**

RECOMMENDATION:

Adopt Resolution No. 2026-041 attesting to the veracity of the 2025 Power Source Disclosure (PSD) Reports and Addressing the Clean Impact, Clean Impact Plus, Green Impact, and Wholesale Market Access Tariff Retail Electric Service Offerings.

- Item 6: Consideration of Resolution No. 2026-042 Awarding a Professional Services Agreement (PSA) to Pouneh Sammak for Human Resource (HR) Consultant Services from October 1, 2026, through December 31, 2027, and Authorizing the Chief Executive Officer to Execute the PSA**

RECOMMENDATION:

Adopt Resolution 2026-042, approving a PSA with Pouneh Sammak for HR Consultant Services for an amount not to exceed \$156,000 per fiscal year, effective July 1, 2026, through December 31, 2027, authorizing the Chief Executive Officer to sign the Agreement.

- Item 7: Consider Approval of Resolution No. 2026-043 Approving the Form of and Authorizing the Execution of a Memorandum of Understanding and Authorizing Participation in the Special District Risk Management Authority's Health Benefits Program**

RECOMMENDATION:

Approve Resolution No. 2026-043 approving the form of and authorizing the execution of a Memorandum of Understanding and authorizing participation in the Special District Risk Management Authority's Health Benefits Program.

- Item 8: Consider Adoption of Resolution No. 2026-044 Approving Community Advisory Committee Member Appointments for Terms through December 31, 2027**

RECOMMENDATION:

Adopt Resolution No. 2026-044 appointing members to the Community Advisory Committee for terms through December 31, 2027.

This item was moved from Reports to Consent.

- Item 11: Consider Adoption of Resolution No. 2026-046 Amending and Adopting a Check Handling and Unclaimed Funds Policy CEA-019**

RECOMMENDATION:

Adopt Resolution No. 2026-046 amending and adopting a Check Handling and Unclaimed Funds Policy as clarification and replacement of the Check Handling Policy CEA-019 and authorizing the Chief Executive Officer to make future updates to such policy consistent with applicable law and

the Amended Clean Energy Alliance Joint Powers Agreement (JPA) and in a form approved by General Counsel and reported to the Board.

Motion by Vice Chair Garcia, seconded by Board Member Becker, to approve the Consent Calendar. Motion carried, 5/0/2 (Bhat-Patel, Nuñez - Absent).

Reports

Item 9: Clean Energy Alliance Chief Executive Officer Operational and Administrative Report

RECOMMENDATION:

Receive and file the report.

CEA Board received report.

Item 10: Consideration of Resolution No. 2026-045 Amending and Adopting a Bad Debt, Delinquent Account, and Collections Policy

RECOMMENDATION:

Adopt Resolution No. 2026-045 amending and adopting a Bad Debt, Delinquent Account, and Collections Policy as clarification and replacement of the CEA Collections Policy CEA-05 and authorizing the Chief Executive Officer to make future updates to such policy consistent with applicable law and the Amended CEA Joint Powers Agreement (JPA) and in a form approved by General Counsel and reported to the Board.

The Board discussed CEA's process for handling delinquent accounts and collection activities. Staff noted that late payment notices will be issued more frequently to improve collection efforts.

Staff explained that SDG&E conducts collection efforts on delinquent customer accounts for approximately 145 days before accounts are closed and referred to CEA. Once referred, balances under \$100 are generally written off due to the low likelihood of recovery and the costs associated with collection efforts.

Staff further explained that collection agencies typically retain a percentage of recovered funds, making pursuit of smaller balances less cost-effective. The proposed \$5,000 threshold applies only to the consideration of additional legal action or court proceedings. Staff noted that industry partners assist with collection efforts and that similar thresholds are commonly used by other Community Choice Aggregators.

Motion by Board Member Becker seconded by Chair Melendez to approve Resolution No. 2026 045. Motion carried, 5/0/2 (Bhat-Patel, Nuñez - Absent).

This item was moved to Consent.

Item 11: Consider Adoption of Resolution No. 2026-046 Amending and Adopting a Check Handling and Unclaimed Funds Policy CEA-019

RECOMMENDATION:

Adopt Resolution No. 2026-046 amending and adopting a Check Handling and Unclaimed Funds Policy as clarification and replacement of the Check Handling Policy CEA-019 and authorizing the Chief Executive Officer to make future updates to such policy consistent with applicable law and the Amended Clean Energy Alliance Joint Powers Agreement (JPA) and in a form approved by General Counsel and reported to the Board.

Item 12: Regulatory Update

RECOMMENDATION:

Receive the Regulatory update from Keyes and Fox.

CEA Board received report.

New Business

Item 13: Consider Adoption of Resolution No. 2026-047 Approving an Interconnection Package for Encina Biogas-to-Energy Project and Authorizing Submittal of the Interconnection Applications to SDG&E and CAISO

RECOMMENDATION:

Adopt Resolution No. 2026-047 approving the Interconnection Package of Agreements related to the Encina Biogas-to-Energy Project, approving an exception to competitive solicitation requirements under Section 4 of Policy CEA-07, awarding the Agreements to GDS Associates, Inc. and Bright Hill Energy, and approving and ratifying the CEO's respective signatures for these Agreements. Furthermore, that the Board authorize the Chief Executive Officer to work with the Encina Wastewater Authority to submit the related interconnection applications to San Diego Gas & Electric and the California Independent System Operator.

Senior Power Procurement Manager Dan Peckham presented the item.

Motion by Chair Melendez seconded by Vice Chair Garcia to approve Resolution No. 2026-047. Motion carried, 5/0/2 (Bhat-Patel, Nuñez - Absent).

Closed Session

This item was cancelled.

Item 14: PUBLIC EMPLOYEE PERFORMANCE EVALUATION PURSUANT TO GOVERNMENT CODE SECTION 54957

Title: Chief Executive Officer

BOARD MEMBER REQUESTS FOR FUTURE AGENDA ITEMS: None.

NEXT MEETING: The Regular Board Meeting is scheduled for July 30, 2026, Leucadia Wastewater District, 1960 La Costa Ave., Carlsbad, CA 92009.

ADJOURNMENT: Chair Melendez adjourned the meeting at 3:34 p.m.

Ana Marie Alarcon
Clerk to the Board/Executive Assistant

**Item 1: PUBLIC EMPLOYEE PERFORMANCE EVALUATION PURSUANT TO GOVERNMENT
CODE SECTION 54957**

Title: Chief Executive Officer

Staff Report

DATE: October 29, 2026

TO: Clean Energy Alliance Board of Directors

FROM: Andy Stern, Interim Chief Financial Officer/Treasurer

ITEM 2: Clean Energy Alliance Treasurer's Report

RECOMMENDATION:

Receive and File Clean Energy Alliance (CEA) Interim Treasurer's Report for August 2026.

BACKGROUND AND DISCUSSION:

This report provides the Board with the following financial information through August 31, 2026:

- Statement of Financial Position (Unaudited and preliminary) – Reports assets, liabilities, and financial position of the CEA as of August 31, 2026.
- Statement of Revenues, Expenses and Changes in Net Position (Unaudited and preliminary) for the period ended August 31, 2026.
- Budget to Actuals Comparison Schedule (Unaudited and preliminary) – Reports actual revenues and expenditures compared to the annual amended budget as of August 31, 2026.
- List of Payments Issued – Reports payments issued for August 2026.

As of August 31, 2026, liabilities represent invoices and estimated accruals for energy and services received but not yet paid.

FISCAL IMPACT:

As of August 31, 2026, CEA's reported Unrestricted Net Position was \$135,554,500.

Submitted for Board consideration:



Gregory Wade
Chief Executive Officer

ATTACHMENTS:

- A. Treasurer's Report for August 2026

CLEAN ENERGY ALLIANCE
STATEMENT OF NET POSITION
As of August 31, 2026

ASSETS

Current assets

Cash and cash equivalents	\$ 74,747,371
Accounts receivable, net of allowance	50,350,541
Accrued revenue	34,377,963
Other receivables	3,792,845
Prepaid expenses	10,627,418
Deposits	<u>1,035,938</u>
Total current assets	174,932,076

Noncurrent assets

Deposits	<u>8,578</u>
Total noncurrent assets	<u>8,578</u>
Total assets	<u>174,940,654</u>

LIABILITIES

Current liabilities

Accrued cost of electricity	32,874,593
Accounts payable	1,019,070
Deferred revenue	392,640
Other accrued liabilities	<u>612,989</u>
Total current liabilities	34,899,292

Noncurrent liabilities

Security deposits - energy suppliers	<u>4,486,862</u>
Total liabilities	<u>39,386,154</u>

NET POSITION

Unrestricted	<u><u>\$ 135,554,500</u></u>
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These financial statements do not contain note disclosures, have not been subjected to an audit or review or compilation engagement, and no assurance is provided on them.

**CLEAN ENERGY ALLIANCE
STATEMENT OF REVENUES, EXPENSES
AND CHANGES IN NET POSITION
Two Months Ended August 31, 2026**

OPERATING REVENUES

Electricity sales, net	\$ 107,130,306
Total operating revenues	<u>107,130,306</u>

OPERATING EXPENSES

Cost of electricity	68,528,377
Contract services	1,254,509
Staff compensation	680,742
Other operating expenses	<u>174,750</u>
Total operating expenses	<u>70,638,378</u>
Operating income (loss)	<u>36,491,928</u>

NONOPERATING REVENUES (EXPENSES)

Investment income	292,434
Interest and financing related expenses	<u>(84,753)</u>
Nonoperating revenues (expenses), net	<u>207,681</u>

CHANGE IN NET POSITION

	36,699,609
Net position at beginning of year	<u>98,854,891</u>
Net position at end of period	<u><u>\$ 135,554,500</u></u>

These financial statements do not contain note disclosures, have not been subjected to an audit or review or compilation engagement, and no assurance is provided on them.

BUDGET TO ACTUALS COMPARISON SCHEDULE

At its June 2026 board meeting, the CEA Board approved the Fiscal Year (FY) 2026/27 budget approving \$352,286,000 in total operating expenses. For the year-to-date, \$70,723,000 of operating expenses have been expended. Revenues from electricity sales for the year-to-date reached \$107,130,000. Nonoperating activity was a net income of \$292,000. The overall change in net position for the year-to-date was an increase of \$36,700,000.

The Budget to Actuals Comparison Schedule is shown below.

**CLEAN ENERGY ALLIANCE
BUDGETARY COMPARISON SCHEDULE
Two Months Ended August 31, 2026**

	Year-To-Date				Annual		
	Actual	Budget	Variance fav. (unfav.)		Annual	Budget	Budget Less Actual YTD
			Amount	%			Budget Remaining / Budget %
Electricity Sales, net	\$ 107,130,306	\$ 95,953,817	\$ 11,176,489	12%	378,512,223	271,381,917	72%
Operating Expenses							
Cost of Energy	68,528,377	72,683,946	4,155,569	6%	337,941,430	269,413,053	80%
Other Operating Expenses	2,194,753	2,227,102	32,349	1%	14,344,118	12,149,365	85%
Total Operating Expenses	70,723,130	74,911,048	4,187,918	6%	352,285,548	281,562,418	80%
Operating Income (Loss)	36,407,176	21,042,769	15,364,407	73%	26,226,675	(10,180,501)	
Total Nonoperating Income/(Expense)	292,433	238,392	54,041	-23%	2,032,385	1,739,952	86%
Change in Net Position	\$ 36,699,609	\$ 21,281,160	\$ 15,418,449		\$ 28,259,061	\$ (8,440,548)	

These financial statements do not contain note disclosures, have not been subjected to an audit or review or compilation engagement, and no assurance is provided on them.

LIST OF PAYMENTS ISSUED

The report on the following page provides the details of payments issued by CEA for the month. All payments were within approved budget.

Vendor	Payment Date Bill Memo	Payment Amount
BRENTECH INCORPORATED	08/03/2026 3 Dell Pro 16 Laptops	\$6,355.17
CALPINE ENERGY SOLUTIONS (Data	08/03/2026 June 2026 - Services	\$299,153.85
Chevron Power Holdings Inc.	08/03/2026 OCT 2026 - RA Capacity prepayment	\$1,400,000.00
FABICK, EMILY	08/03/2026 Expense Report - Jan 2, 2026 to Apr 24, 2026	\$2,252.65
FABICK, EMILY	08/03/2026 Expense Report - May 6, 2026 to May 14, 2026	\$1,051.66
FABICK, EMILY	08/03/2026 Expense Report - Apr 2, 2026 to May 5, 2026	\$2,005.36
PARTICIPATE ENERGY FUND LLC	08/03/2026 June 2026 PPA Period 06042026 - 07032026	\$6,268.89
SAAVI ENERGY SOLUTIONS, LLC.	08/03/2026 Firm Resourre Adequacy - (November 2026) Prepayment	\$769,500.00
SAAVI ENERGY SOLUTIONS, LLC.	08/03/2026 Firm Resourre Adequacy - (November 2026) Prepayment th	\$327,000.00
SDG&E (Procurement)	08/03/2026 OCT 2026 - Billing Period: Oct 01, 2026 to Oct 31, 2026	\$326,529.60
Sentinel Energy Center	08/03/2026 OCT 2026 Contract	\$905,580.00
Summit Advocacy LLC	08/03/2026 July 2026 Lobbying Consulting Services	\$7,000.00
THE ENERGY AUTHORITY (CHANNE	08/03/2026 June 2026 - CAISO Weekly Statement of Activity (7/10/26-7/16/6) Total	\$81,505.76
THE ENERGY AUTHORITY (ENERGY	08/03/2026 August 2026 - CAISO Weekly Statement of Activity (7/10/26-7/16/26) Total	\$810,547.61
River City Bank CC	08/05/2026 JULY 2026 CC Statement	\$17,373.67
River City Bank CC	08/05/2026 JULY 2026 CC Statement	\$17,373.67
Carlsbad Municipal Water District	08/06/2026 MAR 2026-MAY 2026 REC's	\$13,680.00
EMPOWER (401a)	08/06/2026 Employee Retirement - 08/05/26	\$16,459.68
EMPOWER (457b)	08/06/2026 Employee Retirement - 08/05/26	\$10,982.06
Neyenesch Printers	08/06/2026 3,532 Move Notice Mailing 7/14 and 7/21	\$408.20
BRENTECH INCORPORATED	08/07/2026 1 UPS Shipping	\$28.99
Hall Energy Law PC	08/07/2026 JUNE 2026 - Transactional support	\$9,787.50
Tripepi, Smith & Associates, Inc.	08/07/2026 July 2026 - Retainer Communications and Marketing Services	\$15,777.00
ZGlobal inc.	08/07/2026 One Time Setup Fee -	\$1,795.00
THE ENERGY AUTHORITY (CHANNE	08/10/2026 JULY 2026 - CAISO Weekly Statement of Activity (7/17/26-7/23/6) Total	\$117,328.26
THE ENERGY AUTHORITY (ENERGY	08/10/2026 July 2026 - CAISO Weekly Statement of Activity (7/17/26-7/23/26) Total	\$1,654,497.31
ATRIA WORKSPACE (AVANTI)	08/11/2026 SEP 2026 Rent for 333,334 and 335	\$8,629.00
USPS	08/11/2026 First-Class Mail and First-Class Package Service	\$620.75
AGUILAR, NATALIE	08/12/2026 ER - Jul 17, 2026 to Jul 30, 2026	\$67.98
BRAUN BLAISING SMITH WYNNE	08/12/2026 JUNE 2026 - General Matters	\$3,188.90
BRENTECH INCORPORATED	08/12/2026 26 VEEAM Microsoft 365 Backup Protection (monthly subscription)	\$286.00
BURKE, WILLIAMS & SORENSSEN, LI	08/12/2026 FEES FOR PROFESSIONAL SERVICES RENDERED THROUGH June 30, 2026	\$2,987.40
NewGen Strategies & Solutions	08/12/2026 July 2026 -Project: CEA SDG&E ERRA REGULATORY SUPPORT 2024-2026	\$8,195.00
Neyenesch Printers	08/12/2026 550 Green Impact Champions RES and Commercial + Green Impact Envelope	\$479.05
Reynolds, Tiffany	08/12/2026 ER - Jul 29, 2026 to Aug 4, 2026	\$410.64
SDRMA	08/12/2026 SEP 2026 Benefits Coverage	\$33,451.53
STERN, ANDREW	08/12/2026 For the period from July 1, 2026 through July 31, 2026	\$13,000.00
The Bayshore Consulting Group, Inc	08/12/2026 JULY 2026 - CEA Consulting	\$1,400.00
Tripepi, Smith & Associates, Inc.	08/12/2026 July 2026 - Retainer Communications and Marketing Services	\$2,464.75
KARBONE INC.	08/13/2026 AUG 2026 REC transaction	\$589,477.50
san diego human resources consulting inc.	08/13/2026 August HR Services	\$5,604.94
THE ENERGY AUTHORITY (CHANNE	08/13/2026 JULY 2026 - CAISO Weekly Statement of Activity (7/24/26-7/30/26) Total	\$87,732.62
THE ENERGY AUTHORITY (ENERGY	08/13/2026 July 2026 - CAISO Weekly Statement of Activity (7/24/26-7/30/26) Total	\$1,382,361.17
Keyes & Fox LLP	08/17/2026 FEB 2026 - Transactional legal services	\$32,439.94
Keyes & Fox LLP	08/19/2026 JULY 2026 - Transactional legal services	\$46,552.02
Neyenesch Printers	08/19/2026 1,484 Move Notice Mailing 7/28 and 8/4	\$351.09
PaulosAnalysis	08/19/2026 Q2 2026: May-June-July 2026 Monthly licensing	\$1,500.00
Reynolds, Tiffany	08/19/2026 ER - Aug 3, 2026	\$514.80
ZGlobal inc.	08/19/2026 NET CAISO Charges - T+9B Initial 06/01-06/30/2026	\$538.50
EDF TRADING NORTH AMERICA	08/20/2026 July 2026 - Capacity Purchase	\$392,500.00
ES 1A Group 2 Opco, LLC	08/20/2026 JULY 2026 - Resource Adequacy	\$84,000.00

LEAPFROG POWER, INC.	08/20/2026 August 2026 - RA	\$22,758.20
NRG (Formerly DIRECT ENERGY)	08/20/2026 AUG 2026 Capacity	\$535,000.00
Orange County Power Authority(Vendor)	08/20/2026 Sell - VAMO - July 2026	\$3,618,602.80
SDG&E (Procurement)	08/20/2026 JULY 2026 - Billing Period: Jul 01, 2026 to Jul 31, 2026	\$4,991,753.40
SEMPRA	08/20/2026 JULY 2026 - Capacity Purchases	\$881,250.00
Sonoma Clean Power Authority	08/20/2026 July 2026 - Flex RA sale	\$108,750.00
THE ENERGY AUTHORITY (CHANNE	08/20/2026 AUG 2026 - CAISO Weekly Statement of Activity (7/31/26-8/6/26) Total	\$90,245.85
THE ENERGY AUTHORITY (ENERGY	08/20/2026 AUG 2026 - CAISO Weekly Statement of Activity (7/31/26-8/6/26) Total	\$1,313,441.43
WESTERN POWER & STEAM II, LLC	08/20/2026 OCT 2026 RA	\$592,000.00
Westlight Energy	08/20/2026 July 2026 RA sale of 15 MW	\$232,500.00
BURKE, WILLIAMS & SORENSEN, LL	08/24/2026 FEES FOR PROFESSIONAL SERVICES RENDERED THROUGH June 30, 2026	\$2,987.40
Citadel energy marketing llc	08/24/2026 JULY 2026 - CAISO DA	\$3,705,000.86
Constellation Generation Company, LLC	08/24/2026 JUNE 2026 - Power Purchase	\$1,776,587.40
DEER CREEK SOLAR LLC	08/24/2026 JULY 2026 - Renewable Energy & Storage	\$49,425.92
EMPOWER (401a)	08/24/2026 Employee Retirement - 08/20/26	\$16,376.15
EMPOWER (457b)	08/24/2026 Employee Retirement - 08/20/26	\$11,041.66
MORGAN STANLEY CAPITAL GROU	08/24/2026 JULY 2026 - Energy	\$2,917,324.86
NRG (Formerly DIRECT ENERGY)	08/24/2026 AUG 2026 Capacity	\$535,000.00
Pacific Energy Advisors, Inc	08/24/2026 JULY 2026 - Technical Consulting Advisors & Channelside	\$19,268.45
Shell Oil North America	08/24/2026 JUNE 2026 - Power	\$3,008,932.70
Tecolote Wind LLC	08/24/2026 JULY 2026 - Resource Adequacy Benefits	\$86,062.50
Amy Williams	08/25/2026 Expense Report - Aug 5, 2026 to Aug 13, 2026	\$719.03
Maher Accountancy	08/25/2026 August 2026 - Accounting, cash disbursements and related tasks	\$13,500.00
Powerex	08/25/2026 SEP 2026 - CALIFORNIA RPS PCC1 REC SALES	\$61,687.50
Resi Station LLC (Renew Home)	08/25/2026 JULY 2026 - Proxy Demand Response CEA	\$19,012.00
THE ENERGY AUTHORITY (SERVICE	08/25/2026 July 2026 - Resource Management Monthly Fees	\$21,724.78
USPS	08/25/2026 First-Class Mail and First-Class Package Service	\$1,462.28
Reynolds, Tiffany	08/26/2026 ER - Aug 14, 2026	\$425.00
SDG&E(Service Fees)	08/26/2026 For services rendered July 1, 2026 to July 31, 2026.	\$64,978.58
THE ENERGY AUTHORITY (CHANNE	08/27/2026 AUG 2026 - CAISO Weekly Statement of Activity (8/07/26-8/13/26) Total	\$112,056.84
THE ENERGY AUTHORITY (ENERGY	08/27/2026 AUG 2026 - CAISO Weekly Statement of Activity (8/07/26-8/13/26) Total	\$1,472,806.61
Silicon Valley Clean Energy Authority	08/31/2026 JULY 2026 - RA Sale	\$12,000.00
Z Multiple Customers	multiple NEM cash outs	\$667,470.86
	Total	\$36,481,148.53

Staff Report

DATE: October 29, 2026

TO: Clean Energy Alliance Board of Directors

FROM: Gregory Wade, Chief Executive Officer

ITEM 3: Consider Adoption of Resolution No. 2026-048 Approving Amendments to the 10-Year Confirmations with Desert Community Energy for Long-Term RPS Energy and Green Attributes and Authorizing Execution of those Amendments

RECOMMENDATION:

That the Clean Energy Alliance (CEA) Board of Directors (Board) Adopt Resolution No. 2026-048 approving Amendments to the two 10-year Confirmations with Desert Community Energy (DCE) for Long-Term RPS Energy and Green Attributes dated September 15, 2026, referred to herein collectively as “Confirm Amendments”, in final form, and authorizing the Chief Executive Officer (CEO) to execute those agreements.

BACKGROUND AND DISCUSSION:

Municipal electric, gas utilities, and tax-exempt entities such as community choice aggregators (CCAs) in the United States have the ability to prepay for power supply for their retail end-users of electricity or natural gas from a taxable (corporate) entity and fund that prepayment with tax-exempt municipal bonds (“prepayments”). Prepayments have saved utility ratepayers (for natural gas, electricity from gas fired power plants and energy from renewable power projects) billions of dollars in reduced rates and energy charges and are anticipated to continue to do so over the 30-year life of these transactions.

In order to execute prepayment transactions, CCAs must have substantial volumes of long-term power purchase contracts which can be included under the bond transactions to be prepaid – Power Purchase Agreements (PPAs) are often the core volumes underlying prepayment transactions, supplemented with other long-term contracts. Voluntary Allocation Market Offer (VAMO) transactions between CCAs and their respective Investor-Owned Utilities (IOUs) – such as San Diego Gas & Electric (SDG&E) in CEA’s case – are one such supplemental long-term transaction which CCAs have included in prepayment transactions. In order for these volumes to be included in a prepayment transaction, the seller must agree to its inclusion; since IOUs have not been receptive to this structure, CCAs have executed VAMO trades of respective allocations which can be included in each other’s prepayment transactions.

CEA and Desert Community Energy (DCE) received Board approval in June 2026 for such a transaction to trade 70,000 MWh per year from the entities’ respective VAMO allocations, with deliveries to begin in October 2026. The October 2026 date was based on DCE’s needs; CEA does not need to begin implementing these trades until early 2027 for our own planned prepayment bond issuance. DCE subsequently requested to delay the start until February 2027 based on the final bond issuance date. This

would remove volumes transacted in 2026 and reduce volumes in 2027 (due to removal of January volumes). There are no financial implications for CEA but this delay would reduce administrative burden for the end of 2026 and January 2027.

Along with CEA's existing and future PPAs, this transaction and the proposed Confirm Amendments would facilitate a future prepayment deal with anticipated cost savings for CEA. Staff recommends that the Board adopt a Resolution authorizing the CEO to execute the Confirm Amendments.

FISCAL IMPACT:

There will be no direct fiscal impact from the VAMO Agreements or the Confirm Amendments. However, these agreements are intended to enable a future municipal prepayment bond transaction, which CEA anticipates can drive material power supply portfolio cost savings for CEA and its customers.

Submitted for Board consideration:



Gregory Wade
Chief Executive Officer

ATTACHMENTS:

- A. Resolution No. 2026-048, approving the Confirm Amendments, and Authorizing Execution of the Confirm Amendments
- B. Confirm Amendments

**CLEAN ENERGY ALLIANCE
RESOLUTION NO. 2026-048**

**A RESOLUTION OF THE BOARD OF DIRECTORS OF CLEAN ENERGY
ALLIANCE APPROVING AMENDMENTS TO THE 10-YEAR CONFIRMATIONS
WITH DESERT COMMUNITY ENERGY (DCE) FOR LONG-TERM RPS ENERGY
AND GREEN ATTRIBUTES AND AUTHORIZING EXECUTION OF THOSE
AMENDMENTS**

WHEREAS, Clean Energy Alliance (CEA) is a joint powers authority established on November 4, 2019, and organized under the Joint Exercise of Powers Act (Government Code Section 6500 *et seq.*); and

WHEREAS, many Community Choice Aggregators (CCAs) have issued tax-exempt municipal bonds to prepay supply for their retail end customers to achieve cost savings, and CEA anticipates that it may desire to execute a similar transaction in the near future; and

WHEREAS, the two previously approved 10-year Confirmations for Long-Term RPS Energy and Green Attributes dated September 15, 2026, which are Voluntary Allocation Market Offer (VAMO) renewable energy volumes, and the Amendments to each such Confirmation reviewed by CEA's Board of Directors on October 29, 2026 (the "Confirm Amendments"), will facilitate a future prepayment issuance without financial risk or fiscal impact to CEA and are in the best interests of CEA and its customers.

NOW, THEREFORE, BE IT RESOLVED, the Board of Directors of Clean Energy Alliance hereby finds, determines and resolves as follows:

Section 1. The foregoing recitals are true and correct and incorporated fully herein.

Section 2. The Confirm Agreements are hereby approved.

Section 3. The Chief Executive Officer is hereby authorized and directed to execute such Confirm Amendments in the forms presented to the Board on October 29, 2026.

Section 4. The Chief Executive Officer is hereby further authorized and directed to execute such other documents and take such other and further actions as may be necessary and proper to accomplish the purposes of this resolution.

The foregoing Resolution was passed and adopted this 29th day of October, 2026, by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

APPROVED:

Katie Melendez, Board Chair

ATTEST:

Ana Marie Alarcon, Clerk to the Board

**FIRST AMENDMENT TO CONFIRMATION
LONG-TERM RPS ENERGY AND GREEN ATTRIBUTES**

This First Amendment, dated October 30, 2026 (the “Amendment Effective Date”) amends that certain confirmation (“Confirmation”) of the Transaction between Clean Energy Alliance, a California joint powers authority (“Party A”) and Desert Community Energy, a California joint powers authority (“Party B”), each individually a “Party” and together the “Parties”, dated as of September 15, 2026, by which Party A, as Seller, agrees to sell and deliver, and Party B, as Buyer, agrees to purchase and receive, the Product (the “Transaction”). The Parties agree to amend the Confirmation for the Transaction as follows:

1. **Amendment to Contract Quantity and Delivery Term.** The Contract Quantity and Delivery Term are hereby amended as follows (with deletions shown as stricken through in red and additions shown as double underlined in blue):

Contract Quantity (MWh):	~~REC Vintage 2026 : 21,000 MWh~~ REC Vintage 2027: ~~70,000~~ <u>65,594</u> MWh REC Vintage 2028: 70,000 MWh REC Vintage 2029: 70,000 MWh REC Vintage 2030: 70,000 MWh REC Vintage 2031: 70,000 MWh REC Vintage 2032: 70,000 MWh REC Vintage 2033: 60,000 MWh REC Vintage 2034: 60,000 MWh
Delivery Term:	~~October 1, 2026~~ <u>February 1, 2027</u> through December 31, 2034; provided that, for the sole purpose of matching delivery of RECs with Energy, such period will extend through the date that all RECs associated with such Energy have been delivered from Seller to Buyer in accordance with this Confirmation.

2. **Ratification of other Terms.** Except as provided herein, all other terms and provisions of the Confirmation are hereby ratified and confirmed by the Parties.

IN WITNESS WHEREOF, the Parties have signed this First Amendment effective as of the Amendment Effective Date set forth above.

DESERT COMMUNITY ENERGY,
a California joint powers authority

By: 
Name: Tom Kirk
Title: Executive Director

CLEAN ENERGY ALLIANCE,
a California joint powers authority

By: _____
Name: Gregory Wade
Title: Chief Executive Officer

**FIRST AMENDMENT TO CONFIRMATION
LONG-TERM RPS ENERGY AND GREEN ATTRIBUTES**

This First Amendment, dated October 30, 2026 (the “Amendment Effective Date”) amends that certain confirmation (“Confirmation”) of the Transaction between Clean Energy Alliance, a California joint powers authority (“Party A”) and Desert Community Energy, a California joint powers authority (“Party B”), each individually a “Party” and together the “Parties”, dated as of September 15, 2026, by which Party B, as Seller, agrees to sell and deliver, and Party A, as Buyer, agrees to purchase and receive, the Product (the “Transaction”). The Parties agree to amend the Confirmation for the Transaction as follows:

1. **Amendment to Contract Quantity and Delivery Term.** The Contract Quantity and Delivery Term are hereby amended as follows (with deletions shown as stricken through in red and additions shown as double underlined in blue):

Contract Quantity (MWh):	~~REC Vintage 2026 : 21,000 MWh~~ REC Vintage 2027: ~~70,000~~ <u>65,594</u> MWh REC Vintage 2028: 70,000 MWh REC Vintage 2029: 70,000 MWh REC Vintage 2030: 70,000 MWh REC Vintage 2031: 70,000 MWh REC Vintage 2032: 70,000 MWh REC Vintage 2033: 60,000 MWh REC Vintage 2034: 60,000 MWh
Delivery Term:	~~October 1, 2026~~ <u>February 1, 2027</u> through December 31, 2034; provided that, for the sole purpose of matching delivery of RECs with Energy, such period will extend through the date that all RECs associated with such Energy have been delivered from Seller to Buyer in accordance with this Confirmation.

2. **Ratification of other Terms.** Except as provided herein, all other terms and provisions of the Confirmation are hereby ratified and confirmed by the Parties.

IN WITNESS WHEREOF, the Parties have signed this First Amendment effective as of the Amendment Effective Date set forth above.

DESERT COMMUNITY ENERGY,
a California joint powers authority

By: 
Name: Tom Kirk (Oct 8, 2026 15:08:02 PDT)
Title: Executive Director

CLEAN ENERGY ALLIANCE,
a California joint powers authority

By: _____
Name: Gregory Wade
Title: Chief Executive Officer

Staff Report

DATE: October 29, 2026

TO: Clean Energy Alliance Board of Directors

FROM: Gregory Wade, Chief Executive Officer

ITEM 4: Consideration of Resolution No. 2026-049 Adopting the Illness and Injury Prevention Program (CEA-028) and Workplace Violence Prevention Plan (CEA-029) Policies

RECOMMENDATION:

That the Clean Energy Alliance (CEA) Board of Directors (Board) consider and adopt Resolution No. 2026-049 adopting an Illness and Injury Prevention Program (IIPP) Policy (CEA-028) and a Workplace Violence Prevention Plan (WVPP) Policy (CEA-029) and authorizing the Chief Executive Officer (CEO) to make future updates to such policies consistent with applicable law and the Amended CEA Joint Powers Agreement (JPA) and in a form approved by General Counsel and reported to the Board.

BACKGROUND AND DISCUSSION:

The purpose of the Illness and Injury Prevention Program (IIPP) is to establish and maintain a safe and healthful workplace for all employees of CEA and to comply with California Labor Code Section 6401.7 and Title 8 of the California Code of Regulations, Section 3203. As required by Labor Code section 6401.7(a)(7), the IIPP also includes and incorporates CEA's Workplace Violence Prevention Plan (WVPP), which conforms to the requirements of Labor Code section 6401.9.

The WVPP is maintained as a separate document and is a component of the IIPP. CEA is committed to providing a work environment that is free of disruptive, threatening or violent behavior involving any employee, contractor, customer, vendor, volunteer or visitor. The WVPP is established to comply with California Labor Code Section 6401.9 and ensure a safe and secure work environment for all CEA employees. It defines procedures to prevent, respond to, and document incidents of workplace violence.

Therefore, in order to provide and promote a safe work environment and to comply with California Code of Regulations, it is recommended that the Board adopt Policies CEA-028 and CEA-029. Resolution No. 2026-049 would also authorize the CEO to make future updates to such Policies to remain in compliance with applicable law as it changes. Any such future updates would be required to be reported to the Board and in a form approved by General Counsel.

FISCAL IMPACT:

There is no fiscal impact associated with this action.

Submitted for Board consideration:



Gregory Wade
Chief Executive Officer

ATTACHMENTS:

- A. Resolution No. 2026-049
- B. Illness and Injury Prevention Program Policy (CEA-28)
- C. Workplace Violence Prevention Plan Policy (CEA-29)

**CLEAN ENERGY ALLIANCE
RESOLUTION NO. 2026-049**

**A RESOLUTION OF THE BOARD OF DIRECTORS OF CLEAN ENERGY
ALLIANCE ADOPTING AN INJURY AND ILLNESS PREVENTION PROGRAM
(CEA-028) AND A WORKPLACE VIOLENCE PREVENTION PLAN (CEA-029)
POLICIES**

WHEREAS, CEA is committed to providing a safe and healthful workplace for all employees and in compliance with California Labor Code Section 6401.7 and Title 8 of the California Code of Regulations, Section 3203, has created an Injury and Illness Prevention Program Policy (CEA-28); and

WHEREAS, CEA is committed to providing a work environment that is free of disruptive, threatening or violent behavior involving any employee, contractor, customer, vendor, volunteer or visitor and in accordance with Labor Code section 6401.9, has created a Workplace Violence Prevention Plan Policy (CEA-29); and

WHEREAS, the Board desires to adopt policies CEA-28 and CEA-29 to establish and confirm its compliance with applicable laws and California Labor Codes.

NOW, THEREFORE, BE IT RESOLVED, by the Board of Directors of Clean Energy Alliance, as follows:

Section 1. The recitals above are true and correct and incorporated fully herein as findings.

Section 2. The Board hereby adopts an Injury and Illness Prevention Program Policy (CEA-28) and a Workplace Violence Prevention Plan Policy (CEA-29) in the forms presented to the Board on October 29, 2026.

Section 3. The Board hereby authorizes the Chief Executive Officer (CEO) to make future updates to such policies as may be advisable from time to time in consultation with General Counsel that are consistent with applicable law and the Amended Clean Energy Alliance Joint Powers Agreement (JPA), as they may be amended from time to time. The form of any such update must be approved by General Counsel and reported to the Board at its next regularly scheduled meeting.

The foregoing Resolution was passed and adopted this 29th day of October 2026, by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

APPROVED:

Katie Melendez, Board Chair

ATTEST:

Ana Marie Alarcon, Clerk to the Board



CLEAN ENERGY ALLIANCE

ILLNESS AND INJURY PREVENTION PROGRAM (Policy CEA-28)

**OCTOBER
2026**

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Injury and Illness Prevention Program

Effective: October 30, 2026

1. Purpose

The purpose of the Injury and Illness Prevention Program (IIPP) is to establish and maintain a safe and healthful workplace for all employees of Clean Energy Alliance (CEA) and to comply with California Labor Code Section 6401.7 and Title 8 of the California Code of Regulations, Section 3203. As required by Labor Code section 6401.7(a)(7), this Program also includes and incorporates CEA's Workplace Violence Prevention Plan, which conforms to the requirements of Labor Code section 6401.9. The Workplace Violence Prevention Plan is maintained as a separate document and is a component of this Program.

2. Responsibility

The Chief Executive Officer (CEO), or designee is responsible for the implementation and maintenance of the IIPP. This includes ensuring compliance with safety and health standards, maintaining records, and coordinating training.

Supervisors are responsible for implementing and maintaining the IIPP in their work areas and for answering employee questions about the IIPP. The following are identified as supervisor duties:

- Maintain safety as a top priority.
- Enforce and follow all safety rules.
- Investigate all incidents.
- Report any injuries, including minor ones, immediately when the injury occurs.

Employees are responsible for understanding and following the requirements of the IIPP and for asking questions when direction is unclear. The following are identified as duties of the Employee:

- Become familiar with and comply with the Code of Safety Practices and specific work procedures as they apply to their area of authority.
- Attend and participate in training sessions as scheduled.
- Report all injuries and near miss incidents immediately to their supervisor.
- Report unsafe conditions and work practices to their manager.
- Stop any and all work if there is a real or perceived safety-related concern.

3. Compliance

CEA maintains compliance with safe work practices through:

- Informing employees of the requirements within the IIPP in easy-to-understand language.
- Training all employees on general safety policies, rules and work practices.
- Informal and formal employee recognition for safe work practices.
- Providing additional training to employees whose safety performance is deficient.

- Disciplinary actions, as appropriate, for safety violations.

All employees, including supervisors, are responsible for using safe work practices; following all directives, policies and procedures; and assisting in maintaining a safe work environment.

4. Communication

All supervisors are responsible for communication with all employees about occupational safety and health in a format that is easily understandable by all employees. CEA encourages all employees to inform their supervisors about workplace hazards without fear of reprisal.

CEA fosters open communication on health and safety through:

- Safety orientation and onboarding for new hires.
- Staff meetings that include safety as a standing agenda item.
- An open-door policy allowing employees to report hazards or make suggestions without fear of reprisal.
- An anonymous system for employees to inform management about workplace hazards. An anonymous lockbox will be provided for that purpose.
- Posted or distributed safety information.
- Email bulletins and safety updates.

5. Hazard Assessment

CEA will identify and evaluate workplace hazards by:

- Conducting periodic safety inspections to evaluate physical hazards, use of hazardous materials, and safe work practices.
- Reviewing injury and incident trends.
- Performing assessments when introducing new processes or equipment.

Inspections will be conducted as required in the following situations:

- When the IIPP is initially established.
- When new substances, processes or equipment that present potential new hazards are introduced into CEA's workplace.
- When new, previously unidentified hazards are recognized.
- When occupational injuries or illnesses occur.
- Whenever workplace conditions warrant an inspection.
- When CEA hires and/or reassigns employees to processes, operations or tasks for which hazard evaluation has not been previously conducted,

Documented inspections will occur at least annually and as needed.

6. Accident/Exposure Investigation

All work-related injuries, illnesses, or near misses must be reported immediately to a supervisor.

CEA will investigate all incidents promptly, and procedures for investigating workplace accidents and hazardous substance exposures will include:

- Visiting the accident scene as soon as possible;
- Interviewing injured employees and witnesses;
- Examining the workplace for factors associated with the accident/exposure;
- Determining the cause of the accident/exposure;
- Taking corrective action to prevent accidents/exposures from reoccurring; and documenting findings and actions taken.

Employees must report every on-the-job injury or illness, no matter how slight, to their immediate manager.

The manager will direct the injured employee to the appropriate medical facility.

Employees are to follow the following procedures whenever a job-related incident occurs:

- Report the incident (no matter how slight), including any “near hit” incidents, and incidents of equipment or property damage to your manager immediately, no later than the end of your shift.
- Inform their immediate manager as to what has occurred.
- Assist in the filling-out of the necessary forms and actively participate in the accident investigation process, if possible.
- Keep their manager apprised of their status, if the injury results in time away from work.
- Obtain a doctor's return-to-work statement prior to returning to work.

Managers/Supervisors will follow the procedures below if an on-the-job injury is sustained:

- Managers must complete Accident Investigation Report as to a "root cause" loss investigation. If there were any witnesses, then Accident/Incident Witness Statement must be completed.
- Managers must provide and assist injured employees in completing workers' compensation forms within 24 hours.
 - Note: if employees do not sign their medical release this will hinder payment to employee.
- For automobile accidents, use Driver's Report of Accident.

The manager must immediately contact the Chief Executive Officer as soon as they are notified or have knowledge of any illness or injury.

7. Hazard Correction

Unsafe or unhealthy conditions, practices, or procedures will be corrected:

- Immediately when observed or discovered;
- After an accident or incident investigation;
- When an imminent hazard exists;
- When unsafe or unhealthful conditions, work practices or procedures are observed or discovered.

When an imminent hazard exists which cannot be immediately abated without endangering employee(s) and/or property, all exposed personnel will be removed from the area except those necessary to correct the existing condition. Employees necessary to correct the condition must be provided with the necessary safeguards.

8. Training and Instruction

CEA will provide employee training:

- When the IIPP is established;
- Upon initial hiring and during onboarding;
- Upon reassignment to a new area or task with no prior training; When new substances, equipment or procedures are introduced;
- Whenever CEA is made aware of a new or previously unrecognized hazard;
- To supervisors to familiarize them with the safety and health hazards to which employees under their immediate direction and control may be exposed;
- To all employees with respect to hazards specific to each employee's job assignment;
- Following an incident or near miss.

Training includes general workplace safety, emergency procedures, and job-specific hazards. Attendance and topics will be documented.

9. Recordkeeping

CEA will maintain the following records for at least three years:

- Inspection reports, including the persons conducting the inspection; the unsafe conditions and work practices identified; corrective action and follow up.
- Safety training for each employee, including the employee's name, training dates, type of training, and training providers.
- Accidents, illnesses and near-miss inspections that identify the root cause and corrective action taken.
- Annual program reviews.

10. Access and Review

The IIPP is available for review by any employee during working hours.

Employees and their designated representatives have the right to examine and receive a copy of this IIPP. Whenever an employee or designated representative requests a copy of the written IIPP, CEA will provide a printed copy free of charge no later than five (5) business days after the request is received, unless the requester agrees to receive an electronic copy.

CEA may instead provide unobstructed access to the current IIPP through a server or website that allows an employee to review, print, and email it. CEA will communicate the right to access this IIPP, and the procedure for obtaining access, to all employees. Employees can find the IIPP document at [Clean Energy Alliance\Clean Energy Alliance - Staff Resources\Official Documents\CEA Policies](#)

The IIPP will be reviewed annually and updated as necessary to reflect changes in operations, staffing, or legal requirements.

Adopted by:

Chief Executive Officer, Clean Energy Alliance

Date

For questions or safety concerns, employees should contact the CEO or designee directly.



ACCIDENT INVESTIGATION REPORT

Report must be completed **in full** within 24 hours of accident or injury. Please print or type.

Supervisors Name:		Dept.	Date of Report:
Incident Location:			
Employee Name:		Title:	
Incident Date:		Incident Time:	
Date Reported:	Time Reported:	DOB:	Years Employed:
Witness Names:			
Check all that apply			
Injury Type	Fleet/Property Damage	Status of Employee	
☐ No Injury – Incident ☐ On-site First Aid Only ☐ Medical Treatment Only ☐ Lost Time Injury ☐ Hospitalization ☐ Fatality	☐ Property Damage ☐ Vehicle Accident – Complete and Attach Drivers Report of Fleet Incident/Damage ☐ Reported To Police ☐ Reported To _____ ☐ Other: _____	☐ Returned To Regular Job ☐ Returned To Transitional/ Modified Duty	
Please complete all sections thoroughly. Use the reverse side if necessary.			
1. Where did the incident occur? Please be specific			
2. What happened? Please describe what and how the incident occurred.			
3. Describe the incident injury(ies) and specific part(s) of the body affected.			

4. What was the cause of the incident? Focus on contributing factors: people, material, equipment, policies, etc.								
5. What action has been taken (T) or planned (P) to prevent the incident from happening again? (Please check the boxes that apply and show in the table below who is responsible for completing the action, and the target completion dates.)								
T	P	Taken or Planned Action	T	P	Taken or Planned Action	T	P	Taken or Planned Action
		Training/Retraining			Improve Inspection Procedure			Improve Environmental Conditions
		Develop New Training Protocol			Improve Design/Construction			Require Proper PPE
		Improve Existing Training			Improve Storage			Repair/Replace Equipment
		Develop/Update Job Safety Analysis			Improve Housekeeping			Improve Enforcement/Discipline/Counseling
		Develop/Improve Written Procedure			Improve Guarding/Safety Device			Others (explain below)
		Update Existing Procedure						
Corrective Action Taken or Planned					Responsible Person (Name)		Target Completion Date	Date Completed
Preparers Signature _____ Date _____								
Review & Follow-Up by Supervisor							Date	
Date Reported to Chief Executive Officer _____ Date Reported to HR/Safety Manager _____								

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REPORT OF UNSAFE CONDITION OR HAZARD

I. Unsafe Condition or Hazard

Name (optional):	Job:
Title:	
Location of Hazard:	
Date and time the condition or hazard was observed:	
Description of unsafe condition or hazard:	
What changes would you recommend to correct the condition or hazard?	
Employee Signature (optional):	
Date:	

II. Supervisor/Management Investigation

Name of person performing the investigation:
Results of investigation (What was found? Was condition unsafe or a hazard?) (Attach additional sheets if necessary.):
Proposed action to be taken to correct hazard or unsafe condition (Complete and attach documentation that correction was made):
Signature of Investigator(s):
Date:

CLEAN ENERGY ALLIANCE
EMPLOYEE TRAINING RECORD

This training meeting conducted by:

Date:

Number of Employees Present:

Location:

Safety Topic:

Delivery of Material: Lecture / Video / Discussion / hands-on demonstration

Name (Print)	Signature	Supervisor Name

HAZARD ABATEMENT

REQUESTED BY _____ Title:

DATE: _____ TIME: _____

TO: _____ TITLE: _____

**UPON RECEIPT OF THIS REQUEST YOU ARE ASKED TO COMPLETE THIS FORM
INDICATING THE CORRECTIVE ACTION TAKEN, IF NECESSARY, ON THE PROBLEM STATED
BELOW**

PROBLEM: _____

**NOTICE OF CORRECTIVE ACTION MUST BE FURNISHED WITHIN 10 DAYS TO THE
HUMAN RESOURCES MANAGER FOR THE ANALYSIS OF THE PROBLEM**

CAUSES: _____

ANALYSIS: _____

CORRECTIVE ACTION

SIGNED: _____ COMPLETION

DATE: _____

Send To: Human Resources

NEW EMPLOYEE SAFETY ORIENTATION

Location:	Instructor/Supervisor:
-----------	------------------------

This completed form should be retained in the individual's personnel file as evidence of initial training required under the Injury and Illness Prevention Program.

Employee Name (Please Print):
Date of Hire or New Assignment:

I, _____, (Administrator, Instructor, or Supervisor) hereby certify that this employee has been trained on the following (Check appropriate boxes.):	
I. ☐ Initial Training on Department's IIPP, Including:	Date:
• My right to ask any question, or report any safety hazards, either directly or anonymously without any fear of reprisal • The location of safety bulletins and required safety postings • Disciplinary procedures that may be used to ensure compliance with safe work practices • Reporting safety concerns • Reporting occupational injuries and illnesses • Treatment and care of work-related injuries	
II. ☐ Hazard Communication Training	Date:
• The potential occupational hazards in the work area associated with my job assignment • The safe work practices and personal protective equipment required for my job title • The location and availability of Safety Data Sheets (SDS) • The hazards of any chemicals to which I may be exposed, and my right to the information contained on SDSs for those chemicals	
III. ☐ Building Emergency Plan	Date
• Emergency escape routes and procedures • How to report a fire and other emergencies • Names of persons to be contacted for further information	
IV. ☐ Other:	Date:

Three items I will commit to in maintaining a safe work environment and stay safe on the job:	
1.	
2.	
3.	
Employee Signature:	Date:

DRIVER'S REPORT OF VEHICLE ACCIDENT

This form is to be completed by any CEA employee involved in a vehicular accident while conducting agency business. Submit to the Chief Executive Officer or designee as soon as possible after the incident. This report supports CEA's Injury and Illness Prevention Program (IIPP).

Employee and Vehicle Information

Employee Name: _____ Date: _____
Phone: _____

Vehicle Type: ☐ CEA Vehicle ☐ Personal Vehicle ☐ Rental Vehicle

Vehicle License Plate Number: _____

Accident Details

Date of Accident: _____ Time: _____
Location: _____
Weather/Lighting
Conditions: _____
Road Conditions: _____

Description of Accident

Describe what happened (attach additional sheets or diagrams if necessary):

Was law enforcement notified? ☐ Yes ☐ No

If yes, agency name and report number:

Other Vehicle(s) Involved

Driver Name: _____ Phone: _____
Address: _____
Vehicle Make/Model/Year: _____
License Plate # _____
Insurance _____
Company _____ Policy #: _____

Witness Information

Name(s) and contact information of any witnesses:

Injuries and Damage

Were there any injuries? ☐ Yes ☐ No

If yes, describe:

Was first aid provided? ☐ Yes ☐ No

By whom:

Damage to vehicles/property (describe):

Follow-Up

Was the employee evaluated by a medical provider? ☐ Yes ☐ No

Facility name (if applicable):

Date of evaluation:

Employee Statement and Signature

I certify that the above information is accurate to the best of my knowledge.

Employee Signature: _____ Date: _____

Supervisor/Manager Review

Received by:

Title:

Date Received:

Comments/Next Steps:



CLEAN ENERGY ALLIANCE

**WORKPLACE VIOLENCE
PREVENTION PLAN
(Policy CEA-29)**

**OCTOBER
2026**

Workplace Violence Prevention Plan

Effective: October 30, 2026

1. Purpose

Clean Energy Alliance (CEA) is committed to providing a work environment that is free of disruptive, threatening or violent behavior involving any employee, contractor, customer, vendor, volunteer or visitor. This Workplace Violence Prevention Plan (WVPP) is established to comply with California Labor Code Section 6401.9 and ensure a safe and secure work environment for all CEA employees. It defines procedures to prevent, respond to, and document incidents of workplace violence, including:

- Prohibiting employee retaliation
- Accepting and responding to reports of workplace violence
- Employee workplace violence training and communication
- Emergency response
- Workplace violence hazard assessments
- Other requirements, such as maintaining a Violent Incident Log

This Workplace Violence Prevention Plan was created based on the current guidance provided by the California and Federal departments of OSHA and on our good faith assessment of the hazards present in our workplace. While there is no guarantee that our workplace will not be affected by violence, this plan includes best practices to mitigate hazards with the goal of helping our employees feel safe at work. This Plan will be assessed and updated if any new guidance is released by OSHA that necessitates revision of the document.

2. Scope

This plan applies to all CEA employees, contractors, volunteers, and individuals interacting with CEA in any location where work is performed, including virtual or remote worksites.

3. Definitions

Emergency - Unanticipated circumstances that can be life threatening or pose a risk of significant injuries to employees or other persons.

Engineering controls - An aspect of the built space or a device that removes a hazard from the workplace or creates a barrier between the worker and the hazard **Log** - The

Workplace Violence Prevention Plan

violent incident log required by LC section 6401.9 known as the Workplace Violence Prevention Incident log.

Plan - The workplace violence prevention plan required by LC section 6401.9.

Serious injury or illness - Any injury or illness occurring in a place of employment or in connection with any employment that requires inpatient hospitalization for other than medical observation or diagnostic testing, or in which an employee suffers an amputation, the loss of an eye, or any serious degree of permanent disfigurement, but does not include any injury or illness or death caused by an accident on a public street or highway, unless the accident occurred in a construction zone.

Threat of violence - Any verbal or written statement, including, but not limited to, texts, electronic messages, social media messages, or other online posts, or any behavioral or physical conduct, that conveys an intent, or that is reasonably perceived to convey an intent, to cause physical harm or to place someone in fear of physical harm, and that serves no legitimate purpose.

Workplace Violence: Any act of violence or threat, whether verbal or physical, that occurs in a place of employment, including but not limited to:

- The threat or use of physical force against an employee that results in, or has a high likelihood of resulting in, injury, psychological trauma, or stress, regardless of whether the employee sustains an injury.
- An incident involving a threat or use of a firearm or other dangerous weapon, including the use of common objects as weapons, regardless of whether the employee sustains an injury.
- The following four workplace violence types:
 - **Type 1 Violence:** Committed by a person with no legitimate relationship to the workplace (e.g., a stranger).
 - **Type 2 Violence:** Committed by a customer, client, or member of the public while interacting with CEA.
 - **Type 3 Violence:** Committed by a current or former employee or someone with a personal relationship to an employee.
 - **Type 4 Violence:** Committed by someone who does not work at CEA but has a personal relationship with an employee.

Workplace Violence Prevention Plan

- Does not include lawful acts of self-defense or defense of others.

Work practice controls - Procedures and rules which are used to effectively reduce workplace violence hazards.

4. Responsibility

The Chief Executive Officer (CEO) or designee is responsible for:

- Implementing, maintaining, and reviewing the WVPP annually.
- Training employees.
- Receiving and responding to reports.

Supervisors are responsible for ensuring compliance with this policy and reporting incidents.

Employees are responsible for:

- Participating in training.
- Reporting concerns or incidents immediately.
- Supporting a respectful, violence-free workplace.

5. Employee Involvement

CEA has established effective procedures to obtain the active involvement of employees and authorized employee representatives in developing and implementing this Plan, including through their participation in:

- Identifying, evaluating, and determining corrective measures to prevent workplace violence.
- Reporting potential hazards or threats
- Participating in WVPP reviews and training
- Making safety suggestions
- Designing and implementing training
- Reporting and investigating workplace violence incidents

Management will ensure that all workplace violence policies and procedures within this written plan are clearly communicated and understood by all employees. Managers and supervisors will enforce the rules fairly and uniformly. Reports can be made anonymously and without fear of retaliation.

6. Compliance

CEA is committed to ensuring that all safety and health policies and procedures involving workplace violence prevention are clearly communicated and understood

Workplace Violence Prevention Plan

by all employees. All employees are responsible for using safe work practices, for following all directives and procedures, and for assisting in maintaining a safe, healthy, and secure work environment. Our system of ensuring that all employees comply with work practices that are designed to make the workplace more secure, and do not engage in threats or physical actions which create a security hazard for others in the workplace, include:

- Training employees of the provisions of CEA's WVPP when they are hired and periodically through memos, e-mail, staff meetings, and training.
- Evaluating employees to ensure compliance with CEA's WVPP by incorporating knowledge checks during training sessions.
- Ensuring employees who drive for business purposes follow the safety procedures already in place which include but are not limited to: do not drive distracted, obey all traffic laws, safely steer out of the way of speeding vehicles, and avoid escalating a situation. More information is provided by the supervisors of employees who drive as part of their job duties.
- Ensuring employees who visit clients' homes/offsite venues follow the safety procedures already in place which include but are not limited to: Notifying the team they are leaving or have returned home from a visit, parking in well-lit public locations, making a note of the accessible exits within the venue, keeping their cell phone charged and within reach and listening to their intuition about safety. More information is provided by the supervisors of employees who visit clients' homes/offsite venues as part of their job duties.
- Providing retraining to employees whose safety performance is deficient with the WVPP.
- Recognizing employees who demonstrate safe work practices that promote the WVPP in the workplace.
- Disciplining employees for failure to comply with the WVPP.

We recognize that open, two-way communication between our management team, staff, and other employers about workplace violence issues is essential to a safe and productive workplace. The following communication system is designed to facilitate a continuous flow of workplace violence prevention information between management and staff in a form that is readily understandable by all employees, and consists of one or more of the following:

- New employee orientation includes workplace violence prevention policies and procedures.

Workplace Violence Prevention Plan

- Workplace violence prevention training programs.
- Regularly scheduled meetings that address security issues and potential workplace violence hazards.
- Ensure that supervisors are effectively communicating with their employees about workplace violence prevention and violence concerns.
- Posted and/or distributed workplace violence prevention information.
- Employees can report a violent incident, threat, or other workplace violence concern to the CEA or law enforcement without fear of reprisal or adverse action.
- Employees will not be prevented from accessing their mobile or other communication devices to seek emergency assistance, assess the safety of a situation, or communicate with a person to verify their safety.
- Employees' concerns will be investigated in a timely manner, and they will be informed of the results of the investigation and any corrective actions to be taken.
- At a multiemployer worksite, the employer whose employee experienced the incident will record it in the Violent Incident Log and provide a copy of that log to the controlling employer.

7. Procedures for Reporting and Responding to Incidents

CEA will implement the following reporting procedures;

1. Call 911 if there is a conflict or an emergency situation or if someone has been seriously injured.
2. Report all threats or acts of workplace violence to your supervisor or manager. If that's not possible, report incidents to the CEO.
3. The supervisor or manager should complete an Incident Report Form and submit it to the CEO. Forms are accessible from the Workplace Violence Prevention Program SharePoint folder located here: [Clean Energy Alliance\Clean Energy Alliance - Staff Resources\Policies](#)

A strict non-retaliation policy is in place, and any instances of retaliation are dealt with swiftly and decisively. Any employee who retaliates against a coworker for reporting an incident could be disciplined or terminated.

CEA has in place the following specific measures to handle actual or potential workplace violence emergencies:

Workplace Violence Prevention Plan

- Effective means to alert employees of the presence, location, and nature of workplace violence emergencies by using the building's fire alarm system.
- CEA's building has an evacuation and emergency exit routes and maps posted by the elevators on each floor and in the three CEA dedicated offices on the 3rd floor.
- In the event evacuation is not safe, employees will shelter in place using the procedures identified in the posted plan.
- If there is immediate danger, call for emergency assistance by dialing 9-1-1, and then notify your supervisor or CEO.

As required by [California Code of Regulations \(CCR\), Title 8, Section 342\(a\), Reporting Work-Connected Fatalities and Serious Injuries](#), CEA will immediately report to Cal/OSHA any serious injury or illness (as defined by [CCR, Title 8, Section 330\(h\)](#)), or death (including any due to Workplace Violence) of an employee occurring in a place of employment or in connection with any employment.

Post-Incident Response and Investigation. After every workplace violence incident, CEA will respond to and investigate the incident. The investigation will solicit information from the employees who experienced the violence, obtain witness statements, and document investigation findings. CEA will record the incident in the Workplace Violence Prevention Incident Log and complete a Post Incident Assessment, provide or arrange emergency medical care and post-event trauma counseling for affected employees, inform the reporting employee of the results of the investigation and any corrective actions to be taken, and implement corrective measures in a timely manner. Records of incident investigations shall be maintained in accordance with Section 11: Recordkeeping.

8. Hazard Identification and Evaluation

CEA will perform workplace hazard assessments for workplace violence prevention/security in the form of periodic inspections. Periodic inspections to identify and evaluate workplace violence/security hazards and threats of workplace violence are performed by the CEO.

Periodic inspections are performed according to the following schedule:

1. When the Workplace Violence Prevention Program is implemented;
2. When new, previously unidentified workplace violence/security hazards are recognized;
3. Whenever workplace conditions warrant an inspection.
4. Within 30 days of reported incident a follow-up inspection is warranted.

Workplace Violence Prevention Plan

Periodic inspections for violence prevention/security hazards consist of identification and evaluation of workplace hazards and changes in business practices and may require assessing for more than one type of workplace violence. CEA performs inspections for each type of workplace violence by using the methods specified below to identify and evaluate workplace hazards.

Inspections for **Type I** workplace security hazards include assessing:

1. Periodic testing of security surveillance cameras.
2. Procedures for employee response during any criminal act.
3. Procedures for reporting suspicious persons or activities.
4. Posting of emergency telephone numbers for law enforcement, fire and medical services where employees have access to a telephone with an outside line.
5. Location and functioning of emergency buttons and alarms.

Inspections for **Type II** workplace security hazards include assessing:

1. Access to, and freedom of movement within, the workplace.
2. Adequacy of workplace security systems, such as door locks, security windows, physical barriers, and restraint systems.
3. Frequency and severity of threatening or hostile situations that may lead to violent acts by persons who are service recipients of our establishment.
4. The use of work practices such as the floor warden or "buddy" system for specified emergency events.
5. The availability of employee posted escape routes.

Inspections for **Type III** workplace security hazards include assessing:

1. How well CEA's workplace violence prevention policy has been communicated to employees, supervisors, or managers.
2. How well CEA's management and employees communicate with each other.
3. CEA's employees', supervisors', and managers' knowledge of the warning signs of potential workplace violence.
4. Access to, and freedom of movement within, the workplace by non-employees, including former employees or persons with whom one of our employees is having a dispute.
5. Frequency and severity of worker reports of incidents of physical or verbal abuse by managers, supervisors, or other employees.
6. Any prior violent acts, threats of physical violence, verbal abuse, property damage or other signs of strain or pressure in the workplace.

9. Hazard Correction

Workplace Violence Prevention Plan

Workplace violence hazards, which threaten the security of employees, shall be corrected in a timely manner. CEA will implement the following effective procedures to correct workplace violence hazards that are identified:

Corrective measures for workplace security hazards can include:

1. Utilizing surveillance measures, such as cameras, to provide information as to what is going on outside and inside the workplace.
2. Reporting procedures for notifying designated employees of suspicious persons or activities.
3. Posting emergency telephone numbers for law enforcement, fire, and medical services where employees have access to a telephone with an outside line.
4. Training on emergency action procedures for employees, supervisors, and managers.
5. Using alarm systems and access control systems.
6. Controlling access to the workplace and freedom of movement within it, consistent with business necessity.
7. Ensuring adequate workplace security/access control systems, such as door locks, security windows, physical barriers, and restraint systems.
8. Providing worker training in recognizing and handling threatening or hostile situations that may lead to violent acts by persons who are service recipients of our establishment.
9. Placing effective systems to warn others of a security danger or to summon assistance, e.g., alarms
10. Providing procedures for a floor warden or "buddy" system for specified emergency events.
11. Ensuring adequate emergency escape routes.
12. Effectively communicating our workplace violence prevention policy to all employees, supervisors, and managers.
13. Improving how well our management and employees communicate with each other.
14. Increasing employees, supervisors', and managers' awareness of the warning signs of potential workplace violence.
15. Controlling access to, and freedom of movement within, the workplace by non-employees, including recently discharged employees or persons with whom one of our employee's is having a dispute.
16. Providing counseling to employees, supervisors or managers who exhibit behavior that represents strain or pressure which may lead to physical or verbal abuse of co-workers.
17. Ensuring all reports of violent acts, threats of physical violence, verbal abuse, property damage or other signs of strain or pressure in the workplace are handled effectively by management and that the person making the report is not subject to retaliation by the aggressor.
18. Ensuring worker disciplinary and discharge procedures address the potential for workplace violence.
19. Applying crime prevention measures through environmental design and administrative measures including but not limited to:

Workplace Violence Prevention Plan

- A. well-lit areas.
- B. security/controlled access to work area.
- C. code word recognized by coworkers to indicate you need help.
- D. visitor sign-in.
- E. well-lit parking lots and area surrounding the building.
- F. buddy system for walking to car or locations away from the building.
- G. security cameras.
- H. onsite building security guards.
- I. remove sharp objects from view that could be used as a weapon (offices with public access).
- J. caller ID on phones

10. Training

All employees will have training and instruction on general and job-specific workplace violence practices. These sessions could involve presentations, discussions, and practical exercises. Training and instruction will be provided as follows:

- When the WVPP is first established.
- Annually to ensure all employees understand and comply with the WVPP.
- Whenever a new or previously unrecognized workplace violence hazard has been identified and when changes are made to the plan. The additional training may be limited to addressing the new workplace violence hazard or changes to the WVPP.

CEA will provide its employees with training and instruction on the requirements listed below:

- CEA's WVPP, how to obtain a copy of the plan at no cost, and how to participate in development and implementation of the plan.
- How to report workplace violence incidents or concerns to CEA or law enforcement without fear of reprisal.
- Workplace violence hazards specific to the employees' jobs, the corrective measures CEA has implemented, how to seek assistance to prevent or respond to violence, and strategies to avoid physical harm.
- The Workplace Violence Prevention Incident log and how to obtain copies of records pertaining to hazard assessment, evaluation and correction, and training records.
- Opportunities CEA has for interactive questions and answers with a person

Workplace Violence Prevention Plan

knowledgeable about the CEA WVPP plan.

- Strategies to avoid/prevent workplace violence and physical harm, such as:
 - How to recognize workplace violence hazards including the risk factors associated with the four types of workplace violence.
 - Ways to defuse hostile or threatening situations.
- How to recognize alerts, alarms, or other warnings about emergency conditions and how to use identified escape routes.
- Emergency medical care provided in the event of any violent act upon an employee.
- Support and resources, such as counseling services, available for employees desiring such assistance.

CEA ensures that the WVPP plan shall be in writing and shall be available and easily accessible to employees, authorized employee representatives, and representatives of Cal/OSHA at all times. This will be accomplished by:

- Whenever an employee or designated representative requests a copy of the written WVPP, CEA will provide the requester with a printed copy of the WVPP, unless the employee or designated representative agrees to receive an electronic copy.
- CEA provides unobstructed access through a company server or website, which allows an employee to review, print, and email the current version of the written WVPP. Unobstructed access means that the employee, as part of their regular work duties, predictably and routinely uses the electronic means to communicate with management or co-employees.

11. Recordkeeping

CEA will:

- Create and maintain records of workplace violence hazard identification, evaluation, and correction, for a minimum of five (5) years.
- Create and maintain training records for a minimum of one (1) year and include the following:
 - Training dates.
 - Contents or a summary of the training sessions.

Workplace Violence Prevention Plan

- Names and qualifications of persons conducting the training.
 - Names and job titles of all persons attending the training sessions.
- Maintain Workplace Violence Prevention Incident Report Forms (logs) for a minimum of five (5) years.
- Maintain records of workplace violence incident investigations for a minimum of five (5) years.
 - The records shall not contain medical information per subdivision (j) of section 56.05 of the Civil Code.

All records of workplace violence hazard identification, evaluation, and correction; training, incident forms and workplace violence incident investigations required by [LC section 6401.9\(f\)](#), shall be made available to Cal/OSHA upon request for examination and copying.

The following records shall be made available to employees and their representatives, upon request and without cost, for examination and copying within 15 calendar days of a request:

- Records of workplace violence hazard identification, evaluation, and correction.
- Training records.
- Violent incident logs.

12. Plan Review and Update

This CEA WVPP will be reviewed:

- At least annually.
- When a deficiency is observed or becomes apparent.
- After a workplace violence incident.
- As needed.

Review and revision of the WVPP will include the procedures listed in Section 5 'Employee Involvement' of this WVPP, as well as the following procedures to obtain the active involvement of employees and authorized employee representatives in reviewing the plan's effectiveness:

- Review of CEA's WVPP should include, but is not limited to:

Workplace Violence Prevention Plan

- Review of incident investigations and the Workplace Violence Prevention Incident Log.
 - Assessment of the effectiveness of security systems, including alarms and emergency response.
- Review that violence risks are being properly identified, evaluated, and corrected. Any necessary revisions are made promptly and communicated to all employees. These revisions could involve changes to procedures, updates to contact information, and additions to training materials.

Adopted by:

Chief Executive Officer, Clean Energy Alliance

Date

Employees with concerns or questions should contact the CEO or designee.



WORKPLACE VIOLENCE PREVENTION INCIDENT LOG

This form must be used for every workplace violence incident that occurs in our workplace.

The information that is recorded will be based on:

- Information provided by the employees who experienced the incident of violence.
- Witness statements.
- All other investigation findings.

All information that personally identifies the individual(s) involved will be omitted from this form, such as:

- Names
- Addresses – physical and electronic
- Telephone numbers
- Social security number

Date: _____ Time: _____ a.m /p.m.

Location(s) of Incident	Workplace Violence Type (Indicate which type(s) (Type 1, 2,3,4))

Check which of the following describes the type(s) of incident, and explain in detail:

Note: It's important to understand that "Workplace Violence Type" and "Type of Incident" have separate requirements. **For this part of the form, "Type of Incident" specifically refers to the nature or characteristics of the incident being logged. It does not refer to the type of workplace violence.**

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- Physical attack without a weapon, including, but not limited to, biting, choking, grabbing, hair pulling, kicking, punching, slapping, pushing, pulling, scratching, or spitting.
- Attack with a weapon or object, including, but not limited to, a firearm, knife, or other object.
- Threat of physical force or threat of the use of a weapon or other object.
- Sexual assault or threat, including, but not limited to, rape, attempted rape, physical display, or unwanted verbal or physical sexual contact.
- Animal attack.
- Other.

Provide a detailed description of the incident and any additional information on the violence incident type and what it included. Continue on a separate sheet of paper if necessary.

Workplace violence committed by: (For confidentiality, only include the classification of who committed the violence, including whether the perpetrator was a client or customer, stranger, coworker, supervisor or manager, partner or spouse, parent or relative, or other perpetrator.)

Circumstances at the time of the incident: (What was happening at the time of the incident, including, but not limited to, whether the employee was completing usual job duties, isolated or alone, unable to get help or assistance, working in a community setting.)

Where the incident occurred:

Consequences of the incident, including, but not limited to:

- Whether security or law enforcement was contacted and their response and if any actions were taken to protect employees from a continuing threat or from any other hazards

Workplace Violence Prevention Plan

identified as a result of the incident.

- Were there any injuries? Yes or No. Please explain:

- Were emergency medical responders other than law enforcement contacted, such as a Fire Department, Paramedics, On-site First-aid certified personnel? Yes or No. If yes, explain below:

Did the severity of the injuries require reporting to Cal/OSHA? If yes, document the date and time this was done, along with the name of the Cal/OSHA representative contacted.

A copy of this violent incident log needs to be provided to the employer. Indicate when it was provided and to whom:

This workplace violence prevention incident report form was completed by:

Name of person completing this form: _____

Job Title of person completing this form: _____

Date this form was completed: _____

Signature: _____



WORKPLACE VIOLENCE PREVENTION POST INCIDENT ASSESSMENT

1. Were there any physical injuries? __Yes__No
2. If yes, please describe. (employee/person's name, type of injury, type of care provided)
Name: _____
Type of Injury: _____
3. Type of Care Provided:(First Aid, Occupational Clinic, Emergency Room)

4. Where did the incident occur?

5. Was the employee(s) alone? __Yes__No
6. Was a building security guard called? __Yes__No;
7. If yes, did he/she respond? __Yes__No
8. What time did the incident occur? _____
9. Was the perpetrator a stranger, client/customer, co-worker or otherwise familiar person?_____
10. Were any threats made before the incident occurred? __Yes__No
11. Did the employee(s) ever report to the employer that he/she was threatened, harassed, or suspicious that the attacker may become violent? __Yes__No If yes, what was the employer's response?

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12. Was a weapon used against the employee?

13. How did the perpetrator obtain the weapon?

14. Did the employee ever receive training in workplace violence issues? __Yes__No

15. What were the main factors that contributed to the incident?

16. Were Post-Trauma Counseling services provided to the affected employee(s)?

__Yes__No

17. What can be done to prevent future incidents?

18. What new prevention measures were put into place as a result of this incident?

Prevention Measure	Date Completed

Staff Report

DATE: October 29, 2026

TO: Clean Energy Alliance Board of Directors

FROM: Greg Wade, Chief Executive Officer

ITEM 5: Consider Adoption of Resolution No. 2026-050 Authorizing Clean Energy Alliance to Join the California Geothermal Coalition as a Founding Board Member and Authorizing Execution of Related Documents

RECOMMENDATION:

That the Clean Energy Alliance (CEA) Board of Directors (Board) adopt Resolution No. 2026-050 authorizing CEA to join the prospective California Geothermal Coalition at the Board level and authorizing the Chief Executive Officer to execute documents in connection therewith in forms approved by General Counsel.

BACKGROUND AND DISCUSSION:

CEA's Board has set the goal of providing 100% renewable power to its customers in every hour of the day by 2035. At the September 2025 Board Meeting, the Board received a presentation on long-term procurement strategy that analyzed the effectiveness of various renewable technology types that could contribute towards CEA's 100% renewable goal. Geothermal power is a promising resource due to its ability to offset a reliance on natural gas by providing clean power through all hours of the day and night. Clean firm power, such as that generated from geothermal resources, has also been identified by the California Public Utilities Commission (CPUC) as essential to meeting the state's broader reliability goals and renewable targets. The CPUC projects geothermal capacity within the state to increase substantially in the coming decade and, through various regulatory decisions, has mandated the procurement of new clean firm resources to ensure sufficient capacity is available for reliability as older non-renewable baseload resources (natural gas generation facilities and Diablo Canyon) are retired.

CEA is uniquely positioned to become an off-taker for geothermal power. California and surrounding states have immense geothermal potential, and CEA is geographically situated near the state's and the nation's greatest proven geothermal field, the Salton Sea Known Geothermal Resource Area (KGRA). However, despite the existing resource potential, demand for geothermal power by Load Serving Entities (LSE)s like CEA and the mandates for clean firm procurement by state agencies, geothermal development in California has faced various hurdles related to permitting and financing. Many projects, especially those designed to use next-generation geothermal technologies, are often stalled before development can even begin due to a lack of early-stage investment.

CEA's Power Supply Team has spent significant effort over the past year to understand potential geothermal opportunities and the current barriers to advancing these projects. In February of 2026, CEA

released a Request for Information (RFI) for earlier-stage geothermal projects and has executed five (5) Letters of Intent (LOI) with various geothermal developers. Moreover, CEA provided Letters of Support to bolster several of these projects' applications into the Department of Energy's (DOE) Notice of Funding Opportunity (NOFO) geothermal exploration. Multiple projects CEA supported were successfully awarded funding for exploration drilling and subsurface characterization, development stages that propose high levels of risk. In addition to direct engagement with geothermal developers, CEA has been participating in regulatory proceedings surrounding geothermal development and continues to seek out opportunities for advocacy.

CEA's efforts to promote and advocate for geothermal development in California have not gone unnoticed. CEA recently received an invitation to become a founding Board Member of the proposed California Geothermal Coalition. The California Geothermal Coalition will be the state's first trade association dedicated exclusively to advancing geothermal power and will work to advance policy that facilitates the timely development, expansion, and operation of geothermal power in California. (See Attachment B.) Anticipated participants include other Load Serving Entities (both CCAs and investor-owned utilities) as well as geothermal developers and other industry stakeholders. As a Board Member, CEA would take part in developing the Coalition's strategic direction, approving priorities, budgets, and policy positions. CEA would have the opportunity to engage directly with industry leaders, geothermal developers, and other LSEs and potentially impact the outcome of state and regulatory policy. The opportunity to advocate for geothermal power on this scale is consistent with CEA's Policy Platform and could have immense benefits for CEA's long-term renewable goals, hopefully leading to the acceleration of cost-effective geothermal power development throughout the state.

Staff recommends the Board adopt the resolution authorizing CEA to join the California Geothermal Coalition as a Board Member and authorizing the CEO to execute related documents in forms approved by General Counsel.

FISCAL IMPACT:

There will be an immediate fiscal impact of \$75,000 to pay the California Geothermal Coalition membership fee at the Board level. CEA's membership will contribute towards the Coalition's operating budget and help provide the necessary resources for establishing a permanent statewide advocacy organization representing California's geothermal industry before the state Legislature, Governor's Administration, and other state agencies.

Submitted for Board consideration:



Gregory Wade
Chief Executive Officer

ATTACHMENTS:

- A. Resolution No. 2026-050 Authorizing Clean Energy Alliance to Join the California Geothermal Coalition as a Founding Board Member and Authorizing Execution of Related Documents
- B. Draft Prospectus for California Geothermal Coalition

**CLEAN ENERGY ALLIANCE
RESOLUTION NO. 2026-0050**

**A RESOLUTION OF THE BOARD OF DIRECTORS OF CLEAN ENERGY
ALLIANCE APPROVING BOARD MEMBERSHIP IN THE CALIFORNIA
GEOTHERMAL COALITION AND AUTHORIZING EXECUTION OF RELATED
DOCUMENTS**

WHEREAS, Clean Energy Alliance (CEA) is a Joint Powers Authority, formed in November 2019, whose members cities include Carlsbad, Del Mar, Escondido, Oceanside, San Marcos, Solana Beach and Vista; and

WHEREAS, as a load serving entity with a load that has grown from its conception in 2019 to its current service area in 2026, CEA is interested in exploring alternative renewable and clean energy resources, including geothermal; and

WHEREAS, the California Geothermal Coalition (CGC) will be California's first statewide 501(c)(6) trade association dedicated exclusively to advancing the geothermal power industry through legislative advocacy, regulatory engagement, and coalition building, serving as the unified voice of California's geothermal industry before the Legislature, Governor's Administration, state agencies, and other key decision makers;

WHEREAS, the CGC's operations will be consistent with CEA's Joint Powers Agreement objectives and CEA's Legislative and Regulatory Policy Platform; and

WHEREAS, the CEA Board of Directors desires for CEA to become a Board Member of the CGC and participate in its activities and committees.

NOW, THEREFORE, BE IT RESOLVED, by the Board of Directors of Clean Energy Alliance (Board), as follows:

Section 1. Based on the staff report and other matters presented to CEA prior to and during its consideration of this matter, the CEA Board finds and declares that the foregoing recitals are true and correct and are hereby incorporated fully as substantive findings of this Resolution.

Section 2. The CEA Board hereby approves and authorizes CEA to become a Board Member of the CGC, including an annual membership fee of \$75,000, authorizes the CEA Chief Executive Officer to execute such documents in forms approved by General Counsel and take such actions as are necessary and proper for CEA to become a Board Member of the CGC, implement engagement with CGC and have CEA participate as a Board Member.

Section 3. The Clerk to the Board of CEA shall attest and certify to the passage and adoption of this Resolution and it should become effective immediately upon its approval.

The foregoing Resolution was passed and adopted this 29th day of October 2026, by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

APPROVED:

Katie Melendez, Board Chair

ATTEST:

Ana Marie Alarcon, Clerk to the Board



California's first statewide 501(c)(6) trade association dedicated exclusively to advancing the geothermal power industry through legislative advocacy, regulatory engagement, and coalition building. The Coalition will serve as the unified voice of California's geothermal industry before the Legislature, Governor's Administration, state agencies, and other key decision makers.

California is entering one of the most consequential periods for geothermal energy in decades. Over the coming years, the California Legislature, Governor's Administration, California Energy Commission (CEC), California Public Utilities Commission (CPUC), California Independent System Operator (CAISO), California Air Resources Board (CARB), and other state agencies will make decisions that shape the future of geothermal development across the state. These decisions include:

- Advancing clean, firm power
- Resource adequacy planning
- Permitting & regulatory reform
- Budget investments
- Workforce development
- Transmission planning

California's electricity demand is expected to grow significantly as data centers, advanced manufacturing, electrification, and population growth reshape the state's energy needs. At the same time, California must maintain electric reliability while continuing to reduce greenhouse gas emissions.

The California Public Utilities Commission has identified a growing need for clean, firm resources, with geothermal capacity projected to increase to 7.2 gigawatts—and potentially as much as 11.3 gigawatts—by 2045. Recent advances in exploration techniques, enhanced geothermal systems (EGS) and advanced closed-loop technologies are also expanding where geothermal can be deployed across the state.

California is making once-in-a-generation decisions that will determine how clean, reliable electricity is developed over the next two decades. Yet despite geothermal's growing importance, California has no statewide organization dedicated exclusively to advancing the industry's interests before policymakers and regulators. The California Geothermal Coalition is being established to provide that unified voice.

Purpose Statement:

The California Geothermal Coalition works to advance and support policies that facilitate the timely development, expansion, and operation of geothermal power production in California.

Core Initiatives

Legislative Advocacy: Develop and advance legislation that supports development of new geothermal power resources, streamlines permitting, improves market opportunities, and expands deployment throughout California.

Budget Advocacy & Strategic Investment: Advance state investments supporting geothermal exploration, demonstration projects, commercial deployment, transmission infrastructure, workforce development, and research.

Coalition Building: Create a unified statewide voice by bringing together geothermal developers, utilities, organized labor, technology innovators, manufacturers, researchers, investors, environmental advocates and supporting organizations.

Regulatory Engagement: Represent the geothermal industry before the California Public Utilities Commission, California Energy Commission, California Independent System Operator, California Air Resources Board, Department of Conservation, and other regulatory agencies.

Communications & Public Affairs: Increase awareness among policymakers, opinion leaders, and the public regarding geothermal energy's role in providing reliable, affordable, 24-hour clean electricity while supporting California's climate and economic goals.

Member-Driven Governance

The California Geothermal Coalition will be governed by the organizations making the greatest commitment to advancing California's geothermal industry. The Coalition will operate through membership categories designed to provide opportunities for governance, policy development, and broader industry engagement.

Board Members (\$75,000 annually) govern the Coalition through the Board of Directors. They establish the Coalition's strategic direction, approve annual priorities and budgets, elect officers, and determine the Coalition's official legislative, regulatory, budget, and public policy positions. During the initial formation period, the board of directors will be limited in size and composition.

Committee Members (\$35,000 annually) actively participate in developing recommendations for Board consideration and help shape the Coalition's advocacy agenda.

Associate Members (\$10,000 annually) remain informed through regular policy updates, educational programming, Coalition meetings, and networking opportunities while supporting the Coalition's mission.

To ensure the Coalition speaks with one unified voice, during the initial launch period through 2028, official Coalition legislative, regulatory, budget, and public policy positions will be adopted only upon the unanimous concurrence of the Board Members.

The Coalition will also operate under a “no surprises” principle. Board Members will make reasonable efforts to provide advance notice to fellow Board Members before taking a public

legislative or regulatory position that affects the California geothermal industry or conflicts with an established Coalition position.

During the Coalition's initial launch period, the Board may authorize strategic investments in communications, public affairs, advertising, research, conferences, or other initiatives that advance the Coalition's mission. Unless otherwise approved by the Board, such investments will be shared equally among participating Board Members.

The Coalition is designed to grow alongside California's geothermal industry. As membership and resources expand, the Coalition will broaden its advocacy, communications, research, public affairs, and member services to meet the evolving needs of the industry.

Founding Year Budget

The California Geothermal Coalition is targeting a minimum inaugural annual operating budget of **\$500,000**, providing the resources necessary to establish a permanent statewide advocacy organization representing California's geothermal industry before the Legislature, Governor's Administration, and state agencies.

Funding will be supported through memberships and, as approved by the Board, additional strategic investments by Board members in communications, public affairs, research, and other initiatives that advance the Coalition's mission.

The 2027 priorities are expected to be focused on building the reputation of the organization, organizing the industry, permitting and regulatory advocacy, state investment and demonstration funding, and transmission and market access, with the focus on developing long-term administrative and legislative partners.

Why Become a Founding Board Member?

California's geothermal industry has reached an inflection point. Decisions being made over the next several years will shape permitting, procurement, transmission, market design, and public investment for decades. Founding Board Members will establish the Coalition's strategic direction, build lasting relationships with policymakers and regulators, and help create California's first unified voice dedicated exclusively to advancing geothermal energy. The organizations that invest early will help define the Coalition's priorities and position geothermal as a cornerstone of California's clean energy future.

Organizations interested in becoming Founding Board Members are invited to contact the Coalition to discuss participation in establishing California's first statewide geothermal trade association.

Contact:

Chris Nielsen
415.524.6440
Chris@nielsenstrat.com

Staff Report

DATE: October 29, 2026

TO: Clean Energy Alliance Board of Directors

FROM: Greg Wade, Chief Executive Officer

ITEM 6: Clean Energy Alliance Chief Executive Officer Operational and Administrative Report

RECOMMENDATION:

Receive and File the Chief Executive Officer (CEO) Operational and Administrative Report.

BACKGROUND AND DISCUSSION:

This report provides an update to the Clean Energy Alliance (CEA) Board of Directors (Board) regarding operational and administrative activity.

OPERATIONAL UPDATE

Rate Relief Credit Update

On January 29, 2026, the CEA Board approved a temporary Rate Relief Credit designed to achieve rate parity between CEA's Clean Impact power product and SDG&E's bundled customers, mitigating the impacts of the Power Charge Indifference Adjustment (PCIA) rates that went into effect on January 1, 2026.

The following table reflects customer opt-downs to our Clean Impact product since February 1, 2026, when the Rate Relief Credit went into effect. As of October 12, 2026, CEA has a total of 829 customer accounts that have opted down, 30 of which are non-residential accounts.

Member City	Commercial	Residential	Total
Carlsbad	6	160	166
Del Mar	0	6	6
Escondido	7	155	162
Oceanside	9	206	215
San Marcos	1	129	130
Solana Beach	0	20	20
Vista	7	123	130
TOTAL	30	799	829

Since its adoption by the CEA Board, the Rate Relief Credit has been adjusted for specific rate classes in response to subsequent rate and PCIA changes made by SDG&E since February 1, 2026. These adjustments have been made so that the intended impact of this CEA credit – to provide CEA's Clean Impact customers the ability to realize monthly average bills that are the same or better than SDG&E's

bundled customers – can be achieved and maintained. The Rate Relief Credit has been utilized by the Call Center as a retention tool with customers who considered opting out.

CEA in the Community

To provide opportunities for the customers and communities we serve to meet with CEA staff and have their questions answered, staff continues to attend many in-person community events, organize and hold community workshops and give presentations. CEA has been busy with many of these scheduled outreach efforts. Recent events and presentations that CEA participated in are as follows:

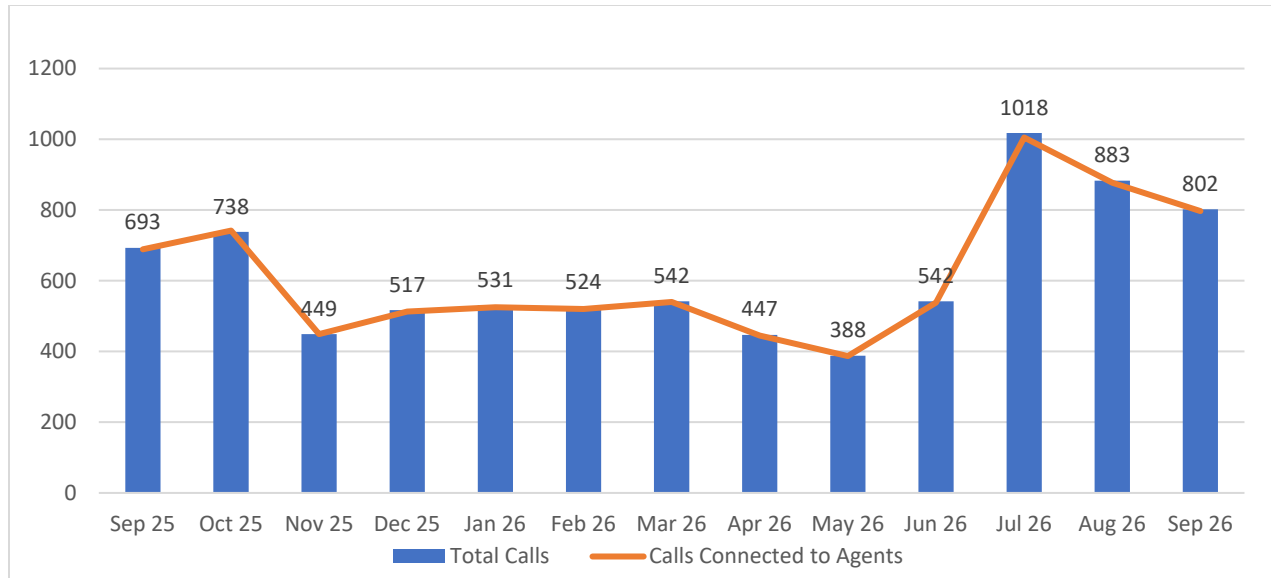
DATE	DESCRIPTION	CITY
September 26, 2026	Cyclovia	Vista
September 30, 2026	CPUC Small & Diverse Business Expo	San Francisco
October 1, 2026	CPUC - GO 156 Supplier Diversity En Banc	San Francisco
October 1, 2026	Energy Academy	San Diego
October 2, 2026	US Marine Corps Fall Carnival	Oceanside
October 2, 2026	Oceanside Heroes Banquet	Oceanside
October 3, 2026	CEA/Frontwave Day of Service	Carlsbad
October 7, 2026	Clean Air Day (SDCW)	San Diego
October 7, 2026	Del Mar Climate Symposium	Del Mar
October 11, 2026	San Marcos Harvest Festival	San Marcos
October 14, 2026	San Marcos Elementary Food Pantry	San Marcos
October 17, 2026	NAACP Freedom Fund Gala	Carlsbad
October 25-27, 2026	APPA Customer Connections Conference	Nashville

Upcoming events in which CEA will be participating include:

DATE	DESCRIPTION	CITY
November 4, 2026	Solana Beach Climate and Resiliency Commission	Solana Beach
Nov 4-Nov 6, 2026	AWWEE Conference 2026	Monterey
November 5, 2026	Senior Showcase Oceanside Chamber	Oceanside
November 6, 2026	Lesly McCallister Law Symposium	San Diego
November 12, 2026	Solana Beach School District Board Meeting	Solana Beach
November 13, 2026	Climate Action Campaign	San Diego
November 16, 2026	State of the County	San Diego
November 17, 2026	Frontwave Arena Booth (Shock)	Oceanside

Call Center Activity and Participation Statistics

The following chart reflects customer activity through September 30, 2026.



Call volumes to CEA’s Call Center decreased 10% from August 2026 to September 2026, after the Summer 2026 increase in calls. The most common call topics for all customers (commercial and residential) were related to billing inquiries, Net Energy Metering, opt-out submittals, or Clean Impact Enrollment inquiries. It is not unusual to see an increase in customer calls during extreme heat or weather events in the summer. This reflects increased interest from customers on how they can save money and understand their energy usage during a time where rates increase as does energy consumption.

The total number of calls received, response times, and call duration through October 1, 2026, were as follows:

Call Center Monthly Summary - September 2026					
Stats by Month	Sep	Aug	July	June	Total
Total Calls	802	883	1018	542	39,524
Total Calls Connected to Agents	797	877	1005	538	38,841
Average Seconds to Answer	0:00:09	0:00:17	0:00:22	0:00:06	
Average Call Duration	0:09:56	0:11:19	0:11:13	0:10:04	

The following table reflects enrollments in CEA’s power supply products by city. As of October 1, 2026, CEA has a total of 257,847 enrolled customer accounts as follows:

Member City	Eligible Accounts	Clean Impact – 50% Renewable	Clean Impact Plus - 75% Carbon Free	Green Impact – 100% Renewable	Participation Rate
Carlsbad	55515	319	49543	659	91%
Del Mar	3031	12	2757	73	94%
Escondido	57496	299	53476	69	94%
Oceanside	75209	391	69554	107	93%
San Marcos	38374	232	35053	458	93%
Solana Beach	8066	35	7196	170	92%
Vista	39682	200	36889	355	94%
TOTAL	277,373	1,488	254,468	1,891	93%

Solar Plus and Battery Bonus Update

As of October 5, 2026, Solar Plus has 31 active applicants and 22 participants with fully installed systems that have received Permission to Operate (PTO) from San Diego Gas & Electric. Battery Bonus has two active applicants and seven participants with fully installed systems that have received PTO. The Solar Plus Connect program currently has 34 active applicants with systems pending installation. The Battery Bonus Connect program has 16 active applicants and 44 participants with installed systems that have received PTO.

In the following chart, “Active” means a customer’s application is in progress, and “Complete” means a customer’s system has been installed and has received Permission to Operate (PTO).

The Month-over-Month Change notes whether there has been an increase or decrease since the last report to the Board.

Member City	Solar Plus		Solar Plus Connect		Battery Bonus		Battery Bonus Connect	
Status	Active	Complete	Active	Complete	Active	Complete	Active	Complete
Carlsbad	2	4	3	0	0	3	3	7
Del Mar	1	0	1	0	0	0	1	0
Escondido	10	5	13	0	0	0	3	9
Oceanside	9	5	6	0	0	0	3	11
San Marcos	4	4	3	0	1	1	2	6
Solana Beach	0	1	1	0	0	0	1	1
Vista	5	3	7	0	1	3	3	10
TOTAL	31	22	34	0	2	7	16	44
MoM CHANGE	+2	+1	0	0	0	+2	0	0

Peak Smart Savers

As of September 30, 2026, the PeakSmart Savers program has 92 active participants. Since the program's launch up to July 2026, CEA had enrolled an average of approximately four customers per month. However, during the month of August 2026, the CEA Team noticed a jump in enrollments, an average of 12 per month since July 2026, the highest number of enrollments in a single month to date, primarily due to referrals by Call Center Representatives, helping retain customers who had inquired about opting out of CEA. To date in 2026, 14 PeakSmart Days have been called, all of which were called this summer season. Staff have completed implementation of PeakSmart Savers communications in Spanish.

PeakSmart enrollment by member city is as follows:

Member City	PeakSmart Savers Participants
Carlsbad	18
Del Mar	1
Escondido	24
Oceanside	26
San Marcos	4
Solana Beach	1
Vista	18
TOTAL	92
MoM Change	+16

Equitable Building Decarbonization

As of October 12, CEA has conducted outreach for the California Energy Commission's Equitable Building Decarbonization (EBD) program at 15 events, resulting in approximately 60 leads and 30 enrollment forms submitted. All enrollment form submissions are attributed to residents living in Oceanside, Vista, San Marcos and Escondido, which are the four CEA member cities currently identified as Initial Community Focus Areas (ICFAs) by the EBD program. After an enrollment form is submitted, the EBD program administrator completes an official address and income eligibility screening. To date, four CEA enrollments have been deemed eligible to move forward; the EBD program administrator has not reviewed all enrollment forms submitted to date, so more than four of the 30 may still be deemed eligible.

Joint Rate Mailer

CEA has published its annual Joint Rate Mailer (JRM) on the CEA Website. The JRM is required annually by the California Public Utilities Commission (CPUC) to provide a comparison of CCA and Investor Owned Utility (IOU) rates. The JRM helps customers understand how CCA rates compare to the utility's rates, in our case SDG&E, allowing them to make informed decisions about their electricity provider. Customers will be notified with a mailer by the end of October 2026, either via email, if they have an email on file with SDG&E, or by postcard. The JRM for Residential, Commercial and California Alternate Rates for Energy (CARE) customers also includes an Electric Power Generation Mix chart and can be found on the CEA website under Key Documents. <https://thecleanenergyalliance.org/key-documents/>

UNDERSTANDING YOUR ENERGY CHOICES

TOU-DR1 - Residential Rate Comparison*				
	SDG&E 41% Renewable	CEA Clean Impact 50% Renewable	CEA Clean Impact Plus 55% Renewable/ 75% carbon-free	CEA Green Impact 100% Renewable
Generation Rate (\$/kWh)	\$0.12968	\$0.13621	\$0.17592	\$0.18243
SDG&E Delivery Rate (\$/kWh)	\$0.29307	\$0.29312	\$0.29312	\$0.29312
SDG&E PCIA (\$/kWh)	\$0.04772	\$0.02613	\$0.02613	\$0.02613
Franchise Fees (\$/%)	\$0.00356	\$0.00356	\$0.00356	\$0.00356
Total Electricity Cost (\$/kWh)	\$0.47403	\$0.45903	\$0.49874	\$0.50524
Average Monthly Bill (\$)	\$164.01	\$158.82	\$172.56	\$174.81

Average Monthly Usage: 346 kWh

Rates as of August 1, 2026.

* This comparison illustrates the estimated electricity costs for a typical residential TOU-DR1 customer within the Clean Energy Alliance with an average monthly consumption of 346 kilowatt-hours (kWh). This is based on 12-months of billing history for all customers on a TOU-DR1 rate plan and published rates as of August 1, 2026.

Energy Efficiency Programs Win

In October 2026, the California Public Utilities Commission (CPUC) denied San Diego Gas & Electric Company's (SDG&E) request to modify its energy efficiency business plan and withdraw from administering regional energy efficiency programs, for both electric and natural gas customers, in its service area. CEA was active in this proceeding alongside SDCP and San Diego Regional Energy Network (SDREN), advocating that energy efficiency is an important tool for affordability. In line with CEA's advocacy, the decision finds that with the current affordability challenges facing energy customers in California, this is an inopportune time to reduce the availability of energy efficiency programs in the San Diego region. Thus, the CPUC directs SDG&E to continue administering its regional energy efficiency programs as well as its codes and standards programs. The decision preserves customer access to SDG&E programs, and if SDG&E plans to withdraw again in the future, the CPUC will require a comprehensive plan for replacement. In addition, the decision does not inhibit CEA customers from accessing SDREN programs, ensuring holistic and equitable access to energy efficiency programs.

University of San Diego Energy Academy

CEA Staff and CEA Community Advisory Committee members joined Cohort 5 of the San Diego Regional Energy Academy hosted by University of San Diego's Energy Policy Initiatives Center (EPIC) and the San Diego Regional Climate Collaborative (SDRCC). The Energy Academy was held over the course of four days: September 17, September 24, October 1, and October 8, 2026. The Energy Academy's educational modules and field trips paired with site-specific curriculum provided an opportunity for attendees to increase their Energy IQ and connect with fellow energy professionals or enthusiasts. Educational topics included Energy Basics and Regional Landscape, Energy Policy and Regulations, Navigating Energy Costs, Equity and Energy Burden, and Decarbonization and Resilience. The Energy Academy site-visits were held across various locations in San Diego including SDG&E, USD, UCSD, San Diego Community Power, and the San Diego Airport. CEA sponsored this year's Energy Academy and Staff participated in the CCA Panel on October 1, 2026.

Contracts \$50,000 - \$100,000 entered into by Chief Executive Officer

VENDOR	DESCRIPTION	AMOUNT
GB Endeavors, LLC	Strategic and advisory consulting services in energy risk management, power supply, procurement & governance	Not-to-Exceed \$85,000/year

FISCAL IMPACT:

There is no fiscal impact associated with this action.

Submitted for Board consideration:



Gregory Wade
Chief Executive Officer

ATTACHMENTS:

None.

Staff Report

DATE: October 29, 2026

TO: Clean Energy Alliance Board of Directors

FROM: Greg Wade, Chief Executive Officer

ITEM 8: Receive Regulatory Update from Keyes & Fox

RECOMMENDATION:

Receive the Regulatory Update from Keyes & Fox.

BACKGROUND AND DISCUSSION:

Clean Energy Alliance (CEA) contracts with Keyes & Fox for regulatory advocacy activities. Each month, Keyes & Fox provides an update to the CEA Board on key items of interest. This month's update will provide an overview of the 2026 Final Market Price Benchmarks (MPB) and 2027 Forecast MPBs used to calculate the Power Charge Indifference Adjustment (PCIA) in the Energy Resource Recovery Account (ERRA) Forecast proceedings, as well as a summary of San Diego Gas & Electric's October Update.

FISCAL IMPACT:

There is no fiscal impact from this action.

Submitted for Board consideration:



Gregory Wade
Chief Executive Officer

ATTACHMENTS:

- A. Keyes & Fox October Regulatory Memo

Clean Energy Alliance

Regulatory Monitoring Report

To: Clean Energy Alliance (CEA) Board of Directors

From: Tim Lindl, Partner, Keyes & Fox LLP
Jacob Schlesinger, Partner, Keyes & Fox LLP
Jason Hoyle, Director of Research, EQ Research LLC

Subject: Monthly Regulatory Memo

Date: October 21, 2026

Keyes & Fox LLP and EQ Research LLC are pleased to provide CEA's Board of Directors with this informational memo describing recent developments in key California regulatory and compliance-related updates from the California Public Utilities Commission (CPUC). Additional information is available in CEA's [Digest of Regulatory Updates](#).

SDG&E 2027 ERRA Forecast (A.26-05-009)

On October 15, SDG&E submitted the [October Update](#) to its 2027 ERRA Forecast Application increasing the 2027 revenue requirement by \$547.7 million, or 61.3%, to \$1,440.7 million. The October Update results in an increase in rates for unbundled (i.e., CCA) customers of about 20% compared to rates in the initial Application. The final rates will take effect January 1, 2027 after the Commission issues a final decision in December.

- On October 1, the CPUC [issued](#) the Final 2026 Market Price Benchmarks (MPBs) and the Forecast 2027 MPBs used to calculate the 2027 PCIA. Compared to the Final 2025 MPBs, the Final 2026 MPB for the RA Adder decreased 9.8% to \$10.11/kW-month and is forecast for 2027 at \$10.02/kW-month; the RPS Adder decreased 51.6% to \$30.88/MWh and is forecast at \$12.69/MWh for 2027; and the Final 2026 GHG-free Adder is \$10.17 (not issued for 2025) and the forecast 2027 quantity is below the 1,000 GWh threshold for issuance.

Electric Rate Design (R.26-04-009)

- On September 22, the CPUC issued a [Scoping Memo and Ruling](#) establishing four tracks in this proceeding. Track 1 on data center tariffs, Track 2 on modernizing residential rates, and Track 3 on modernizing non-residential rates will be conducted concurrently starting in late 2026. Each of the first three tracks will feature a staff proposal followed by a workshop and party comments. The schedule and specific topics for Track 4 on dynamic rates, marginal cost framework, and a framework for transmission revenue requirements will be announced at a later date.

High DER Future (R.21-06-017)

- On September 25, the CPUC issued a [Proposed Decision](#) (PD) adopting an application process for utility distributed energy resource (DER) orchestration and ordering the utilities to incorporate load flexibility scenarios into their Distribution Planning and Execution Process. The PD is on the agenda for the Commission's October 29 Business Meeting.
- The PD requires the IOUs to jointly retain a consultant to lead a series of working group meetings to vet proposals for a common DER orchestration framework and prepare a report within 10 months after the final decision is issued that includes recommendations based on stakeholder feedback. Within 12 months of the final decision, the Joint IOUs must submit a comprehensive DER orchestration application that includes the foundation for a consistent statewide framework with IOU-specific criteria and proposals for design and implementation of the framework. The framework is intended to more completely capture the value of DERs, including increasing coordination with the grid. Flexible dispatch, the use of DER aggregators, location-specific value, distribution-system value, and load flexibility are among the value streams and DER integration mechanisms that must specifically be considered.

- On October 20, the CPUC issued a [Proposed Decision](#) (PD) directing the large IOUs to support affordability by expanding the availability and use of Flexible Service Connections (FSC) and closing the proceeding. The PD may be heard, at the earliest, at the Commission's December 3 Business Meeting. FSCs allow a customer to increase their level of electric service temporarily while grid upgrades are being completed or permanently through arrangements that avoid or delay the need for distribution infrastructure capital investments.
- The FSC PD expands the current options for mitigating grid upgrades to the secondary distribution lines that serve most customers, increases the number of FSC evaluations conducted by the IOUs in response to customer service upgrade requests, establishes common standards for FSC evaluations and customer communications, adds new requirements for cybersecurity, and imposes additional tracking and reporting requirements.

Demand Response ([R.25-09-004](#))

- On September 25, the CPUC issued a [Proposed Decision](#) (PD) authorizing bridge funding for the 2028-2029 demand response (DR) programs operated by the IOUs and addressing the Emergency Load Peak Reduction Program pilot and the DR bridge portfolios. The PD also extends the filing deadline for the next IOU DR applications from November 1, 2026, to November 1, 2028, for program years 2030-2034 to align applications with the policy updates in this proceeding. The PD is on the agenda for the Commission's October 29 Business Meeting.
- Bridge funding, which is intended to avoid program disruption, will continue at the 2027 DR budget levels, including \$15.2 million for SDG&E programs. This proceeding may assess whether the IOUs should share a unified cost tracking approach going forward, including guidance for the IOUs' 2030-2034 DR applications. Any unspent funds, including accrued interest, for the 2028-2029 bridge years must be returned to ratepayers after the bridge years close at the end of 2029.

EPIC Applications ([A.26-08-014](#))

- On October 7, the CPUC issued a [Ruling](#) consolidating the IOUs' and the California Energy Commission's (CEC) Applications requesting approval of their Electric Program Investment Charge (EPIC) Plans for 2026-2030. A prehearing conference for November 6.
- SDG&E's EPIC 5 [Application](#) addresses the strategic goals of DER Integration, Climate Adaptation, Building Decarbonization, and Achieving 100% Net-Zero Carbon Emissions & the Coordinated Role of Gas through energy technology innovation of key existing and emerging technologies. SDG&E's Commission-authorized budget is \$16.28 million plus a requested 18% increase to account for inflation for a total proposed budget of nearly \$17.1 million. The two largest proposed programs are DER integration for grid and community resiliency and decarbonization, with \$6 million and \$5.5 million proposed, respectively. New in EPIC 5 is SDG&E's Community Engagement Plan ([AL 4865-E](#)), which outlines a comprehensive, equity-focused approach to engaging communities, stakeholders, and Tribal Nations throughout the lifecycle of its EPIC program and projects.

Staff Report

DATE: October 29, 2026

TO: Clean Energy Alliance Board of Directors

FROM: Andy Stern, Chief Financial Officer

ITEM 9: Receive Annual Audited Financial Report for the Fiscal Year Ended June 30, 2026

RECOMMENDATION:

Receive and File Clean Energy Alliance's (CEA) Annual Audited Financial Report (Attachment A) for the Fiscal Year Ended June 30, 2026.

BACKGROUND AND DISCUSSION:

Clean Energy Alliance's (CEA's) annual audit, and preparation of the Annual Audited Financial Report, for the period July 1, 2025, through June 30, 2026, has been completed.

CEA engaged Sorren, LLP, to complete the audit. Sorren performed the audit for the prior year, and its successor firm performed the audit for the two years prior to last year. The goal of the independent audit is to provide reasonable assurance that the financial statements of CEA for the Fiscal Year (FY) 2025/2026 are free of material misstatements. As part of CEA's annual audit, reviews are made to determine the adequacy of the internal control structure as well as to determine that CEA has complied with applicable laws and regulations.

The independent auditor concluded there was a basis for rendering an unmodified opinion and CEA's financial statements are fairly presented in conformity with Generally Accepted Accounting Standards (GAAS).

Statement of Auditing Standards (SAS) No. 114

The auditor's standard communication to the Board is to comply with Statement of Auditing Standards (SAS) No. 114 - Communication to Those Charged with Governance. That document (Attachment B) includes the Internal Control Memorandum which details any internal control deficiencies.

The internal control memo, included as a part of the SAS 114 attachment, confirmed that there were no material instances of noncompliance, no material weaknesses in internal controls, and no reportable conditions. The Report to the CEA Board of Directors also indicates there were no other items of concern to report.

The full independent auditor's report is presented as the first attachment to this Report (Attachment A).

Net Position as of June 30, 2026

	June 30, 2026	June 30, 2025
Total Assets	\$ 131,326,142	\$ 93,852,135
Total Liabilities	34,471,252	72,473,024
Net Position	\$ 98,854,890	\$ 21,379,111

CEA's Total Assets increased by more than \$37.5 million from FY2024/2025 to FY2025/2026. While there were some areas that were higher than last year and some areas lower, most of that increase was the result of \$43.7 million increase in cash and cash equivalents resulting from a significantly higher net operating results in the FY2025/2026 fiscal year.

Total Liabilities fell by \$40.0 million. The largest decline was in the amount of CEA's revolving line of credit outstanding which dropped by \$22.0 million as the credit line was fully repaid in the FY2025/2026 fiscal year. Additionally, accrued cost of electricity declined by \$17.7 million as multiple areas of energy costs were lower than last year. The cost of energy attributes, including resource adequacy and renewable energy credits, were significantly lower than the prior year.

CEA improved financial operations resulted in a positive net position for the second year in a row growing by \$77.5 million to \$98.9 million.

Results of Operations June 30, 2026

	June 30, 2026	June 30, 2025
Total Income	\$ 385,032,734	\$ 352,477,997
Total Expenses	307,556,955	314,134,052
Net Results	\$ 77,475,779	\$ 38,343,945

CEA's revenues increased significantly in each of the past 3 years as a result of growth in the volume of energy delivered to customers in its expanded service territory. Revenues in the fiscal year ending June 30, 2026, increased 9% compared to the prior fiscal year, following a 78% increase in the year before that. Expenses decreased by \$6.6 million as energy costs remained low for most of the fiscal year despite higher energy volumes. As previously noted, the cost of energy attributes, including resource adequacy and renewable energy credits, were significantly lower than the prior year. CEA's net result was a net positive of \$65.0 million, significantly better than both the approved budget and the prior year's results. Looking beyond FY 2025/2026, a preliminary analysis shows the following for the next three years:

Forecast of Operations – Next 3 Fiscal Years (FY2027 to FY2029)

	June 30, 2026 (Actual)	June 30, 2027 (Budget)	June 30, 2028 (Budget)	June 30, 2029 (Budget)
Total Income	\$ 385,032,734	\$ 380,544,609	\$ 386,103,905	\$ 386,620,707
Total Expenses	307,556,955	352,285,548	329,116,611	327,666,783
Net Results	\$ 77,475,779	\$ 28,259,061	\$ 56,987,294	\$ 58,953,924
Days Liquidity on Hand	113	130	203	266

Based on current assumptions, CEA's Change in Net Position will be significantly positive for at least the next 3 years as shown above. It is important to note that the estimates assume that there is no change in rates and that energy costs remain relatively low, consistent with recent trends.

FISCAL IMPACT:

There is no fiscal impact associated with these items.

Submitted for Board consideration:



Gregory Wade
Chief Executive Officer

ATTACHMENTS:

- A. Clean Energy Alliance Annual Audited Financial Report for the Fiscal Year ended June 30, 2026
- B. Statement of Auditing Standards No. 114, Communication to Those Charged with Governance



FINANCIAL STATEMENTS

YEARS ENDED JUNE 30, 2026 & 2025

WITH REPORT OF

INDEPENDENT AUDITORS

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Independent Auditor's Report

To the Board of Directors
Clean Energy Alliance

Report on the Audit of the Financial Statements

Opinions

We have audited the financial statements of Clean Energy Alliance (CEA), as of and for the years ended June 30, 2026 and 2025, and the related notes to the financial statements, which collectively comprise CEA's basic financial statements as listed in the table of contents.

In our opinion, the accompanying financial statements referred to above present fairly, in all material respects, the respective financial position of CEA, as of June 30, 2026 and 2025, and the respective changes in financial position and, where applicable, cash flows thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Basis for Opinion

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of CEA and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about CEA's ability to continue as a going concern for twelve months beyond the financial statement date, including any currently known information that may raise substantial doubt shortly thereafter.

Independent Auditor's Report (continued)

Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinions. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of CEA's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about CEA's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Independent Auditor's Report (continued)

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that management's discussion and analysis be presented to supplement the basic financial statements. Such information is the responsibility of management and, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Sorren CPAs P.C.

Santa Rosa, California
October 22, 2026

**CLEAN ENERGY ALLIANCE
MANAGEMENT'S DISCUSSION AND ANALYSIS
YEARS ENDED JUNE 30, 2026 AND 2025**

The purpose of management's discussion and analysis (MD&A) is to help stakeholders and other readers understand what the financial statements and notes in this report say about Clean Energy Alliance's (CEA) financial health and why it has changed since last year. It contains information drawn from other parts of the report, accompanied by explanations informed by the finance staff's knowledge of CEA's finances.

If you have questions about this report or require further information, please contact CEA at askcea@thecleanenergyalliance.org.

Overview of the Financial Statements

CEA's financial report contains basic financial statements:

- The *Statements of Net Position* include all of CEA's assets, liabilities, and net position and provide information about the nature and amounts of resources and obligations at a specific point in time.
- The *Statements of Revenues, Expenses, and Changes in Net Position* report all of CEA's revenue and expenses for the years shown.
- The *Statements of Cash Flows* report the cash provided and used by operating activities, as well as other sources and uses, such as capital and investing activities.
- The notes to the Basic Financial Statements provide additional details and information related to the basic financial statements.

CLEAN ENERGY ALLIANCE
MANAGEMENT'S DISCUSSION AND ANALYSIS
YEARS ENDED JUNE 30, 2026 AND 2025

Financial Summary

CEA's Net Position

	2026	2025	2024
Current assets	\$ 131,317,564	\$ 92,814,822	\$ 55,948,350
Noncurrent assets	8,578	1,037,313	55,376
Total assets	131,326,142	93,852,135	56,003,726
Current liabilities	27,984,390	44,274,624	38,522,410
Noncurrent liabilities	4,486,862	28,198,400	34,446,150
Total liabilities	32,471,252	72,473,024	72,968,560
Net position			
Unrestricted (deficit)	98,854,890	21,379,111	(16,964,834)
Total net position	\$ 98,854,890	\$ 21,379,111	\$ (16,964,834)

As of June 30, 2026, CEA's total net position was approximately \$98,855,000, an increase in net position of \$77,476,000 as compared to June 30, 2025. The increase in net position was the result of CEA's increase in operating income as discussed below.

CEA's Changes in Net Position

	2026	2025	2024
Operating revenues	\$ 383,597,717	\$ 352,477,963	\$ 198,067,134
Nonoperating revenues	1,435,017	319,034	218,449
Total income	385,032,734	352,796,997	198,285,583
Operating expenses	306,588,724	313,128,523	203,233,184
Nonoperating expenses	968,231	1,324,529	1,480,137
Total expenses	307,556,955	314,453,052	204,713,321
Change in net position	\$ 77,475,779	\$ 38,343,945	\$ (6,427,738)

During the year ended June 30, 2026, operating revenues increased by approximately \$31,120,000, or 9%, from \$352,478,000 to \$383,598,000. Operating expenses decreased by approximately \$6,540,000, or 2%, from \$313,129,000 to \$306,589,000. As a result, operating income increased by approximately \$37,660,000, from \$39,349,000 in fiscal year 2025 to \$77,009,000 in fiscal year 2026. While CEA did not expand its service territory in fiscal year 2026, the increase in revenue was primarily associated with commercial customer electricity sales. Total operating expenses decreased slightly resulting in an increased operating surplus, mostly driven by lower market prices for certain energy products. During the year ended June 30, 2025, CEA's operating revenues expanded significantly as a result of growth in the volume of energy delivered to customers in its expanded service territory and price increases made in response to changes in the prices paid to energy suppliers.

**CLEAN ENERGY ALLIANCE
MANAGEMENT'S DISCUSSION AND ANALYSIS
YEARS ENDED JUNE 30, 2026 AND 2025**

Detailed Analysis

CEA continued to strengthen its financial position during fiscal year 2026. Net position increased by approximately \$77,476,000, driven primarily by operating income of approximately \$77,009,000. The strong operating results also contributed to increased cash balances and enabled CEA to reduce the outstanding balance on its revolving line of credit to \$0 by the end of the fiscal year.

Current assets increased from \$92,815,000 at the end of fiscal year 2025 to \$131,318,000 at the end of fiscal year 2026. The increase was primarily attributable to cash and cash equivalents, which increased by approximately \$43,724,000 to \$55,547,000. At June 30, 2026, accounts receivable, net of allowance, totaled \$37,044,000, accrued revenue totaled \$23,499,000, and prepaid expenses totaled \$10,603,000. The increase in cash was partially offset by decreases in accrued revenue and prepaid expenses compared with the prior year.

Noncurrent assets increased from \$55,000 in fiscal year 2024 to \$1,037,000 in fiscal year 2025. At the end of fiscal year 2026 it decreased to approximately \$9,000. The fluctuations from year to year were attributable to changes in deposits associated with power purchase agreements.

CEA's largest current liability is the cost of electricity delivered to customers that remained unpaid at the end of the fiscal year. Accrued cost of electricity decreased from approximately \$41,817,000 at June 30, 2025 to \$24,068,000 at June 30, 2026. The decrease was primarily attributable to a reduction in the estimated cost of unbilled renewable energy certificates, reflecting significantly lower average prices during the fiscal year. Current liabilities overall decreased from approximately \$44,275,000 to \$27,984,000.

Noncurrent liabilities decreased substantially during fiscal year 2026, from approximately \$28,198,000 at June 30, 2025 to approximately \$4,487,000 at June 30, 2026. The decrease was primarily attributable to repayment of the \$22,000,000 outstanding balance on CEA's revolving line of credit. The remaining noncurrent liabilities at June 30, 2026 consisted of energy supplier security deposits.

CEA recorded significant revenue growth from fiscal year 2024 to fiscal year 2025, primarily as a result of continued service territory expansion. The April 2024 onboarding of the cities of Oceanside and Vista increased CEA's customer load by approximately 70%, resulting in a full year of additional electricity sales during fiscal year 2025. Operating expenses also increased during this period, primarily due to the higher volume of electricity required to serve the expanded customer base and changes in energy market prices.

**CLEAN ENERGY ALLIANCE
MANAGEMENT'S DISCUSSION AND ANALYSIS
YEARS ENDED JUNE 30, 2026 AND 2025**

Detailed Analysis (continued)

During fiscal year 2026, operating revenues increased by approximately \$31,120,000, or 9%, from \$352,478,000 to \$383,598,000. The increase was primarily attributable to additional commercial load that began contributing to revenues during the latter half of fiscal year 2025 and was reflected for the full fiscal year in 2026. The cost of electricity decreased by approximately \$7,919,000, or 3%, from \$303,710,000 to \$295,791,000. Contract services and staff compensation increased, while other operating expenses decreased slightly. Overall, total operating expenses decreased by approximately 2%, compared with the 9% increase in operating revenues.

As a result, operating income increased from approximately \$39,349,000 in fiscal year 2025 to \$77,009,000 in fiscal year 2026. Investment income also increased from approximately \$319,000 to \$1,435,000, reflecting higher cash and investment balances during the year. Interest and financing-related expenses decreased from approximately \$1,325,000 to \$968,000 as CEA reduced and ultimately repaid its outstanding revolving line of credit balance.

Significant Capital Asset and Long-Term Financing Activity

CEA does not own generation or distribution assets. Financing activities during fiscal years 2025 and 2026 primarily consisted of borrowings and repayments under CEA's revolving line of credit. During fiscal year 2026, CEA borrowed \$47,000,000 and repaid \$69,000,000, resulting in a net decrease of \$22,000,000 for the year. During fiscal year 2025, CEA borrowed \$58,300,000 and repaid \$70,250,000, resulting in a net decrease of \$11,950,000 for the year. At the end of fiscal year 2026, the outstanding revolving line of credit balance was \$0. See Note 4 to the financial statements for further discussion regarding debt.

Currently Known Facts, Decisions, or Conditions

CEA's financial position continues to strengthen as it manages customer load growth and advances strategic energy procurement and financing initiatives. CEA expects to continue integrating new renewable energy resources, including long-term contracts that include both generation and battery storage capacity as part of its long-term energy portfolio strategy. CEA also expects to execute its first energy prepayment transaction, which will support future predictability in energy costs and provide long-term savings. In addition, CEA remains committed to its mission statement to provide sustainable and affordable energy for all customers. Future performance will continue to be influenced by energy market conditions, customer load and participation, rate-setting decisions, and the management of energy supply and financing costs.

Along with customer expansion, CEA is also adding to its organizational capacity with the hiring of additional staff to support customer programs, marketing, and rate study initiatives.

BASIC FINANCIAL STATEMENTS

CLEAN ENERGY ALLIANCE
STATEMENTS OF NET POSITION
JUNE 30, 2026 AND 2025

ASSETS	2026	2025
Current assets		
Cash and cash equivalents	\$ 55,547,095	\$ 11,822,779
Accounts receivable, net of allowance	37,044,413	32,562,347
Accrued revenue	23,498,739	24,251,823
Other receivables	3,588,741	1,829,991
Prepaid expenses	10,602,638	19,348,919
Deposits	1,035,938	2,998,963
Total current assets	131,317,564	92,814,822
Noncurrent assets		
Deposits	8,578	1,037,313
Total noncurrent assets	8,578	1,037,313
Total assets	131,326,142	93,852,135
LIABILITIES		
Current liabilities		
Accrued cost of electricity	24,067,917	41,816,876
Accounts payable	786,849	1,257,688
Deferred revenue	588,960	-
Other accrued liabilities	540,664	362,102
Security deposits - energy suppliers	2,000,000	797,750
Interest and financing cost payable	-	40,208
Total current liabilities	27,984,390	44,274,624
Noncurrent liabilities		
Security deposits - energy suppliers	4,486,862	6,198,400
Revolving line of credit	-	22,000,000
Total noncurrent liabilities	4,486,862	28,198,400
Total liabilities	32,471,252	72,473,024
NET POSITION		
Unrestricted	\$ 98,854,890	\$ 21,379,111

CLEAN ENERGY ALLIANCE
STATEMENTS OF REVENUES, EXPENSES
AND CHANGES IN NET POSITION
YEARS ENDED JUNE 30, 2026 AND 2025

	2026	2025
OPERATING REVENUES		
Electricity sales, net	\$ 383,597,717	\$ 352,477,963
OPERATING EXPENSES		
Cost of electricity	295,791,456	303,710,341
Contract services	7,175,512	6,824,080
Staff compensation	2,720,254	1,627,734
Other operating expenses	901,502	966,368
Total operating expenses	<u>306,588,724</u>	<u>313,128,523</u>
Operating income	<u>77,008,993</u>	<u>39,349,440</u>
NONOPERATING REVENUES (EXPENSES)		
Investment income	1,435,017	319,034
Interest and financing related expenses	<u>(968,231)</u>	<u>(1,324,529)</u>
Nonoperating revenues (expenses), net	<u>466,786</u>	<u>(1,005,495)</u>
CHANGE IN NET POSITION	77,475,779	38,343,945
Net position at beginning of year	<u>21,379,111</u>	<u>(16,964,834)</u>
Net position at end of year	<u><u>\$ 98,854,890</u></u>	<u><u>\$ 21,379,111</u></u>

CLEAN ENERGY ALLIANCE
STATEMENTS OF CASH FLOWS
YEARS ENDED JUNE 30, 2026 AND 2025

	<u>2026</u>	<u>2025</u>
CASH FLOWS FROM OPERATING ACTIVITIES		
Receipts from customers	\$ 380,579,486	\$ 338,914,734
Receipts of supplier security deposits	5,296,713	6,500,000
Receipts from wholesale sales and other operating activities	3,346,591	8,900,724
Payments to suppliers for electricity	(309,406,268)	(321,676,472)
Payments for other goods and services	(8,578,615)	(7,628,058)
Payments for deposits and collateral	(2,814,240)	(3,210,900)
Payments for staff compensation	(2,477,781)	(1,640,384)
Payments for state surcharges	(697,152)	(619,543)
Net cash provided by operating activities	<u>65,248,734</u>	<u>19,540,101</u>
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES		
Proceeds from revolving line of credit	47,000,000	58,300,000
Principal payments - revolving line of credit	(69,000,000)	(70,250,000)
Interest payments	(1,008,439)	(1,491,110)
Net cash used by noncapital financing activities	<u>(23,008,439)</u>	<u>(13,441,110)</u>
CASH FLOWS FROM INVESTING ACTIVITIES		
Investment income received	<u>1,484,021</u>	<u>270,030</u>
Net change in cash and cash equivalents	43,724,316	6,369,021
Cash and cash equivalents at beginning of year	<u>11,822,779</u>	<u>5,453,758</u>
Cash and cash equivalents at end of year	<u><u>\$ 55,547,095</u></u>	<u><u>\$ 11,822,779</u></u>

CLEAN ENERGY ALLIANCE
STATEMENTS OF CASH FLOWS (CONTINUED)
YEARS ENDED JUNE 30, 2026 AND 2025

**RECONCILIATION OF OPERATING INCOME TO NET
CASH PROVIDED BY OPERATING ACTIVITIES**

	<u>2026</u>	<u>2025</u>
Operating income	\$ 77,008,993	\$ 39,349,440
Adjustments to reconcile operating income to net cash provided by operating activities		
(Increase) decrease in:		
Accounts receivable, net	(4,482,066)	(10,880,363)
Accrued revenue	753,084	(3,337,441)
Other receivables	(1,807,754)	(1,747,987)
Prepaid expenses	8,746,281	(12,253,693)
Deposits	2,991,760	(3,210,900)
Increase (decrease) in:		
Accrued cost of electricity	(17,748,959)	4,653,612
Accounts payable	(470,839)	370,950
Deferred revenue	588,960	-
Other accrued liabilities	178,562	96,483
Supplier security deposits	(509,288)	6,500,000
Net cash provided by operating activities	<u>\$ 65,248,734</u>	<u>\$ 19,540,101</u>

CLEAN ENERGY ALLIANCE
NOTES TO THE BASIC FINANCIAL STATEMENTS
YEARS ENDED JUNE 30, 2026 AND 2025

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

REPORTING ENTITY

Clean Energy Alliance (CEA) is a California Joint Powers Authority created on November 4, 2019. As of June 30, 2026, parties to its Joint Powers Agreement consist of the following local governments:

Cities and Towns	
Carlsbad	San Marcos
Del Mar	Solana Beach
Escondido	Vista
Oceanside	

CEA is separate from and derives no financial support from its members. CEA is governed by a Board of Directors (Board) whose membership is composed of elected officials of the member governments.

The core function of CEA is to provide electric service that includes renewable sources under the Community Choice Aggregation Program under California Public Utilities Code Section 366.2.

CEA began its energy delivery operations in May 2021. Electricity is acquired from electricity suppliers and delivered through existing physical infrastructure and equipment managed by San Diego Gas and Electric (SDG&E).

BASIS OF ACCOUNTING

CEA's financial statements are prepared in accordance with generally accepted accounting principles (GAAP). The Governmental Accounting Standards Board (GASB) is responsible for establishing GAAP for state and local governments through its pronouncements.

CEA's operations are accounted for as a governmental enterprise fund and are reported using the economic resources measurement focus and the accrual basis of accounting – similar to business enterprises. Accordingly, revenues are recognized when they are earned, and expenses are recognized at the time liabilities are incurred. Enterprise fund-type operating statements present increases (revenues) and decreases (expenses) in total net position. Reported net position is segregated into three categories, if applicable – investment in capital assets, restricted and unrestricted.

When both restricted and unrestricted resources are available for use, it is CEA's policy to use restricted resources first, and then unrestricted resources as they are needed.

CLEAN ENERGY ALLIANCE
NOTES TO THE BASIC FINANCIAL STATEMENTS
YEARS ENDED JUNE 30, 2026 AND 2025

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

CASH AND CASH EQUIVALENTS

CEA defines cash and cash equivalents to include cash on hand, demand deposits and short-term investments with an original maturity of three months or less.

ACCOUNTS RECEIVABLE AND ACCRUED REVENUE

CEA invoices customers for energy delivered each month on staggered billing cycles. Each reporting period, management records revenue for energy delivered but not yet invoiced based on energy volume and applicable rate.

PREPAID EXPENSES

Payments made to vendors that will benefit periods beyond the end of the current fiscal year are recorded as prepaid expenses.

DEPOSITS

Contracts to purchase energy may require CEA to provide a supplier with advanced payments or security deposits. Security deposits are generally held for the term of the contract and are classified as current or noncurrent assets depending on the length of time the deposits will be outstanding.

DEFERRED REVENUE

Deferred revenue consists of payments received in advance from customers for which revenue has not been earned and is shown as a current liability in the Statements of Net Position.

SECURITY DEPOSITS - ENERGY SUPPLIERS

Various energy contracts require the supplier to provide CEA with a security deposit. These deposits are generally held for the term of the contract or until the completion of certain benchmarks. Deposits are classified as current or noncurrent, depending on the length of time the deposits will be held.

CLEAN ENERGY ALLIANCE
NOTES TO THE BASIC FINANCIAL STATEMENTS
YEARS ENDED JUNE 30, 2026 AND 2025

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

NET POSITION

Net position is presented in the following components:

Investment in capital assets: This component of net position consists of capital assets, net of accumulated depreciation. CEA did not have any capital assets as of June 30, 2026 and 2025.

Restricted: This component of net position consists of constraints placed on the use of net assets through external constraints, such as those imposed by creditors (such as through debt covenants), grantors, contributors, or laws or regulations of other governments, or constraints imposed by law through constitutional provisions or enabling legislation. CEA did not have any restrictions as of June 30, 2026 and 2025.

Unrestricted: This component of net position consists of net position that does not meet the definition of “investment in capital assets” or “restricted.”

OPERATING AND NONOPERATING REVENUES

Operating revenues include energy sales to customers.

Investment income that is not earned from the delivery of program activities are considered “nonoperating revenue.”

REVENUE RECOGNITION

CEA recognizes revenue according to the accrual basis. This includes invoices issued to customers during the reporting period and electricity estimated to have been delivered but not yet invoiced. Management estimates that a portion of the invoiced amounts will be uncollectible. Accordingly, an allowance for uncollectible accounts has been recorded.

OPERATING AND NONOPERATING EXPENSES

Operating expenses include the cost of electricity, services, and administrative expenses. Nonoperating expenses relate to interest and financing costs.

CLEAN ENERGY ALLIANCE
NOTES TO THE BASIC FINANCIAL STATEMENTS
YEARS ENDED JUNE 30, 2026 AND 2025

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

COST OF ELECTRICITY

During the normal course of business, CEA purchases electrical power from numerous suppliers. Electricity costs include the cost to purchase electricity and generation capacity arising from bilateral contracts with energy suppliers, the cost of generation credits, and load and other charges arising from CEA's participation in the California Independent System Operator's (CAISO) centralized market. The cost of electricity and capacity is recognized as "Cost of Electricity" in the Statements of Revenues, Expenses and Changes in Net Position.

To comply with the State of California's Renewable Portfolio Standards (RPS) and self-imposed benchmarks, CEA acquires RPS eligible renewable energy evidenced by Renewable Energy Certificates (Certificates) recognized by the Western Renewable Energy Generation Information System. CEA obtains Certificates with the intent to retire them and does not sell or build surpluses of Certificates with a profit motive.

CEA purchases capacity commitments from qualifying generators to comply with the California Public Utilities Commission's Resource Adequacy Program. The goals of the Resource Adequacy (RA) Program are to provide sufficient resources to the CAISO to ensure the safe and reliable operation of the electricity grid in real-time and to provide appropriate incentives for the construction of new resources needed for reliability in the future.

STAFFING COSTS

CEA pays employees semi-monthly and fully satisfies its monthly obligations for health benefits and contributions to its defined contribution retirement plan.

CEA provides a Retiree Health Reimbursement Arrangement for its Chief Executive Officer. Under this plan, CEA credits an annual amount to a notional account with 4% compounded earnings, which may be used after retirement to reimburse qualified medical expenses. CEA does not provide post-employment healthcare or other benefits to any other employees.

CEA provides for compensated absences, including paid time off, which is accrued when earned and recorded as part of other accrued liabilities. The liability includes leave that is attributable to services already rendered, accumulates, and is more likely than not to be used or paid. The liability is measured using the employee's pay rate as of the financial statement date.

CLEAN ENERGY ALLIANCE
NOTES TO THE BASIC FINANCIAL STATEMENTS
YEARS ENDED JUNE 30, 2026 AND 2025

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

INCOME TAXES

CEA is a joint powers authority under the provision of the California Government Code and is not subject to federal or state income or franchise taxes.

ESTIMATES

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

RECLASSIFICATIONS

Certain amounts reported in the prior year's financial statements have been reclassified for comparison purposes to conform to the presentation of the current year's financial statements. These reclassifications did not result in any changes to previously reported net position or change in net position.

2. CASH AND CASH EQUIVALENTS

CEA maintains its cash in both interest-bearing and non-interest-bearing accounts at River City Bank. CEA's deposits are subject to California Government Code Section 16521, which requires banks to collateralize public funds in excess of the Federal Deposit Insurance Corporation limit of \$250,000 by 110%. CEA does not have an investment policy that addresses a specific type of risk that would impose restrictions beyond this code. Accordingly, the amount of risk is not disclosed. CEA monitors its risk exposure on an ongoing basis.

3. ACCOUNTS RECEIVABLE

The balances of accounts receivable were as follows as of June 30:

	2026	2025
Accounts receivable from customers	\$48,105,413	\$39,764,370
Allowance for uncollectible accounts	(11,061,000)	(7,202,023)
Net accounts receivable	<u>\$37,044,413</u>	<u>\$32,562,347</u>

The majority of account collections occur within the first few months following the issuance of customer invoices. CEA estimates that a portion of the invoiced accounts will not be collected. CEA continues collection efforts on accounts in excess of *de minimis* balances regardless of the age of the account. Amounts estimated to be uncollectible are reported as a reduction in electricity sales on the Statement of Revenues, Expenses, and Changes in Net Position.

CLEAN ENERGY ALLIANCE
NOTES TO THE BASIC FINANCIAL STATEMENTS
YEARS ENDED JUNE 30, 2026 AND 2025

4. DEBT

In February 2021, CEA arranged to borrow up to \$6,000,000 through a revolving credit agreement from JPMorgan Chase to provide cash for working capital until sufficient revenue was to be collected from customers. An amendment in March 2024 increased the available amount to \$40,000,000. In April 2025, an amendment extended the maturity date to February 2, 2029. At June 30, 2026 and 2025, CEA's outstanding balances were \$0 and \$22,000,000, respectively. CEA granted a security interest in all customer revenues to the lender.

Funds may be drawn as needed and interest is accrued on the outstanding balance. The stated maturity date is February 2, 2029, with interest payable each month. Interest on Secured Overnight Financing Rate (SOFR) borrowings is based on the applicable one- or three-month CME Term SOFR, plus a 0.10% credit spread adjustment and an applicable margin of 3.45% per annum. As of June 30, 2026 and 2025, the interest rate was approximately 7.3% and 7.9%, respectively.

Changes in debt are summarized in the table below:

	Beginning	Additions	Payments	Ending
Year ended June 30, 2026				
Revolving line of credit	\$ 22,000,000	\$ 47,000,000	\$ (69,000,000)	\$ -
Amounts due within one year				-
Amounts due after one year				\$ -
Year ended June 30, 2025				
Revolving line of credit	\$ 33,950,000	\$ 58,300,000	\$ (70,250,000)	\$ 22,000,000
Amounts due within one year				-
Amounts due after one year				\$ 22,000,000

CLEAN ENERGY ALLIANCE
NOTES TO THE BASIC FINANCIAL STATEMENTS
YEARS ENDED JUNE 30, 2026 AND 2025

5. DEFINED CONTRIBUTION RETIREMENT PLAN

The Clean Energy Alliance Plan (Plan) is a defined contribution retirement plan established by CEA to provide benefits at retirement to its employees. The Plan is administered by Empower Retirement. As of June 30, 2026, there were 17 plan participants. CEA is required to contribute up to 12.5% of annual covered payroll (5% contingent on employee matching) to the Plan. CEA contributed \$279,000 and \$164,000 during the fiscal years ended June 30, 2026 and 2025, respectively. The Plan includes vesting provisions intended to encourage employee retention. Plan provisions and contribution requirements are established and may be amended by the Board.

6. RISK MANAGEMENT

CEA is exposed to various risks of loss related to torts, errors, and omissions. During each year, CEA purchased insurance policies from investment-grade commercial carriers to mitigate risks associated with general liability, and errors and omissions. There were no significant reductions in coverage compared to the prior year. There is no self-insured retention amount in CEA's insurance policies.

CEA maintains risk management policies, procedures and systems that help mitigate credit, liquidity, market, operating, regulatory and other risks that arise from participation in the California energy market. Credit guidelines include a preference for transacting with investment-grade counterparties, evaluating counterparties' financial condition and assigning credit limits as applicable. These credit limits are established based on risk and return considerations under terms customarily available in the industry. In addition, CEA enters into netting arrangements whenever possible and where appropriate and obtains collateral and other performance assurances from counterparties.

CLEAN ENERGY ALLIANCE
NOTES TO THE BASIC FINANCIAL STATEMENTS
YEARS ENDED JUNE 30, 2026 AND 2025

7. PURCHASE COMMITMENTS

In the ordinary course of business, CEA enters into various power purchase agreements to acquire renewable and other energy and electric capacity. The price and volume of purchased power may be fixed or variable. Variable pricing is generally based on the market price of electricity at the date of delivery. Variable volume is generally associated with contracts to purchase energy from as-available resources such as solar, wind, and hydroelectric facilities.

The following table details the obligations to purchase existing energy, renewable, and RA contracts as of June 30, 2026:

Year ending June 30,	Total
2027	\$ 274,900,000
2028	228,200,000
2029	204,900,000
2030	154,600,000
2031	130,400,000
2032-2048	898,300,000
Total	<u>\$ 1,891,300,000</u>

8. RECENTLY ADOPTED AND FUTURE ACCOUNTING PRONOUNCEMENTS

CEA implemented GASB Statement No. 102, *Certain Risk Disclosures*, which requires additional disclosures regarding concentrations and constraints that could impact CEA's financial position or results of operations. Implementation of this statement did not have a material effect on the financial statements.

CEA also implemented GASB Statement No. 103, *Financial Reporting Model Improvements*, which establishes revised guidance for the presentation of financial statements by state and local governments. The adoption of this statement did not have a material effect on CEA's financial statements.

In addition, CEA adopted GASB Statement No. 104, *Disclosure of Certain Capital Assets*, which establishes additional disclosure requirements for certain types of capital assets, including capital assets held for sale and certain intangible assets. Implementation of this statement did not have a material effect on the financial statements.

GASB Statement No. 105, *Subsequent Events*, is effective for fiscal years beginning after June 15, 2026. Management is evaluating the effect of implementation of this statement.

Report to the Board of Directors

Clean Energy Alliance

For the year ended June 30, 2026





To the Board of Directors
Clean Energy Alliance
5857 Owens Ave, 3rd Floor
Carlsbad, CA

We are pleased to present this report related to our audit of the financial statements of Clean Energy Alliance (“CEA”) as of and for the year ended June 30, 2026. Our report summarizes certain matters required by professional standards to be communicated to you in your oversight responsibility for CEA’s financial reporting process.

This report is intended solely for the information and use of the Board of Directors and management of CEA and is not intended to be and should not be used by anyone other than these specified parties. It will be our pleasure to respond to any questions you have about this report. We appreciate the opportunity to continue to be of service to Clean Energy Alliance.

Sorren CPAs P.C.

Santa Rosa, California
October 22, 2026

cc: Andy Stern, CFO

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REQUIRED COMMUNICATIONS

The following required communications summarize our responsibilities regarding the financial statement audit as well as observations from our audit that are significant and relevant to your responsibility to oversee the financial reporting process.

Our Responsibilities

We described our responsibilities under auditing standards generally accepted in the United States of America to you in our engagement letter dated August 3, 2026. The engagement letter included details regarding the planned scope and timing of our audit and identified significant risks. Our audit of the financial statements does not relieve management or you of your responsibilities, which are also described in that letter

Accounting Policies and Practices

Preferability of Accounting Policies and Practices

Under accounting principles generally accepted in the United States of America, in certain circumstances, management may select among alternative accounting practices. In our view, in such circumstances, management has selected the preferable accounting practice.

Adoption of, or Change in, Accounting Policies

Management has the ultimate responsibility for the appropriateness of the accounting policies used by CEA. CEA did not change any existing significant accounting policies. As disclosed in Note 8, CEA implemented and adopted GASB 102-104 which did not have a material effect on the financial statements.

Significant Accounting Policies

We did not identify any significant accounting policies in controversial or emerging areas for which there is a lack of authoritative guidance or consensus.

Significant or Unusual Transactions

We did not identify any significant unusual transactions.

Management's Judgments and Accounting Estimates

Summary information about the process used by management in formulating particularly sensitive accounting estimates and about our conclusions regarding the reasonableness of those estimates is in the attached Summary of Significant Accounting Estimates.

Audit Adjustments and Uncorrected Misstatements

Management chose to pass on one adjustment related to GASB 96 which management concluded wasn't material. We are not aware of any other passed adjustments that were not trivial.

Observations About the Audit Process

Disagreements With Management

We encountered no disagreements with management over the application of significant accounting principles, the basis for management's judgments on any significant matters, the scope of the audit or significant disclosures to be included in the financial statements.

Consultations With Other Accountants

We are not aware of any consultations management had with other accountants about accounting or auditing matters.

Significant Issues Discussed With Management

No significant issues arising from the audit were discussed or were the subject of correspondence with management.

Significant Difficulties Encountered in Performing the Audit

We did not encounter any significant difficulties in dealing with management during the audit.

Shared Responsibilities for Independence

Auditor independence is a joint responsibility and is managed most effectively when management, audit committees, and audit firms work together in considering compliance with applicable independence rules. For Sorren CPAs, P.C. (the Firm) to fulfill its professional responsibility to maintain and monitor independence, management, the Board of Directors, and the Firm each play an important role.

Our Responsibilities

- We are required to maintain both independence of mind and in appearance when providing audit and other attestation services. It is our responsibility to ensure that the general requirements for performing nonattest services are adhered to and included in all letters of engagement.
- We are required to maintain a system of quality management over compliance with independence rules and firm policies.

CEA's Responsibilities

- Timely inform the Firm, before the effective date of transactions or other business changes, of the following:
 - New affiliates, directors, or officers.
- Understand and conclude on the permissibility, prior to CEA's, officers, directors, or persons in a decision-making capacity, engaging in business relationships with the Firm.
- Not entering into relationships resulting in close family members of the Firm covered persons, temporarily or permanently acting as an officer, director, or person in an accounting or financial reporting oversight role at CEA.

Significant Written Communications Between Management and Our Firm

Copies of significant written communications between our firm and the management of CEA, including the representation letter provided to us by management, are attached as Appendix A.

SIGNIFICANT ACCOUNTING ESTIMATES

Accounting estimates are an integral part of the preparation of financial statements and are based upon management's current judgment. The process used by management encompasses their knowledge and experience about past and current events, and certain assumptions about future events. You may wish to monitor throughout the year the process used to determine and record these accounting estimates. The following summarizes the significant accounting estimates reflected in CEA's June 30, 2026 financial statements.

Significant Accounting Estimates	
Accrued Revenue	
Accounting policy/ Management's estimation process	Management's estimate of accrued revenue includes historical trends and anticipated energy usage.
Basis for our conclusion on the reasonableness of the estimate	We tested management's estimate analytically and determined management's estimate to be reasonable in relation to the financial statements taken as a whole.
Cost of Electricity	
Accounting policy/ Management's estimation process	Management's estimate of accrued cost of electricity includes historical trends and anticipated energy usage.
Basis for our conclusion on the reasonableness of the estimate	We tested management's estimate through subsequent disbursements and analytical procedures and determined management's estimate to be reasonable in relation to the financial statements taken as a whole.
Allowance for Uncollectible Accounts	
Accounting policy/ Management's estimation process	Management's estimate of the allowance for uncollectible accounts includes historical collection trends and anticipated future collections.
Basis for our conclusion on the reasonableness of the estimate	We evaluated the key factors and assumptions used to develop the estimate in determining that the allowance is reasonable in relation to the financial statements taken as a whole.

APPENDIX A

Significant Written Communications Between Management and Our Firm



October 22, 2026

Sorren CPAs, P.C.
3562 Round Barn Circle, Suite 200
Santa Rosa, CA 95403

Ladies and gentlemen:

We are providing this letter in connection with your audits of the financial statements of Clean Energy Alliance (CEA), as of June 30, 2026 and June 30, 2025 for the purpose of expressing an opinion as to whether the financial statements are presented fairly, in all material respects, in conformity with accounting principles generally accepted in the United States of America. We confirm that we are responsible for the preparation and fair presentation in the financial statements in conformity with accounting principles generally accepted in the United States of America.

Certain representations in this letter are described as being limited to matters that are material. Items are considered material, regardless of size, if they involve an omission or misstatement of accounting information that, in the light of surrounding circumstances, makes it probable that the judgment of a reasonable person relying on the information would be changed or influenced by the omission or misstatement.

We confirm, to the best of our knowledge and belief, as of the date of this representation letter, as entered on the first page, the following representations made to you during your audits:

1. We have fulfilled our responsibilities, as set out in the terms of the audit engagement letter dated August 3, 2026, for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America.
2. We have fulfilled our responsibility, as set out in the terms of the aforementioned audit engagement letter, for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.
3. We have made available to you:
 - a) All financial records, and related data, including the names of all related parties and all relationships and transactions with related parties, as agreed upon in the terms of the aforementioned audit engagement letter.
 - b) All additional information that you have requested from us for the purpose of the audit.
 - c) Unrestricted access to persons within the entity from whom you determined it necessary to obtain audit evidence.
 - d) Minutes of the meetings of Board of Directors that were held from July 1, 2025 to the date of this letter, or summaries of actions of recent meetings for which minutes have not yet been prepared.
4. There have been no communications from regulatory agencies concerning noncompliance with or deficiencies in financial reporting practices.
5. There are no material transactions that have not been properly recorded in the accounting records underlying the financial statements.
6. There are no material transactions that have not been properly recorded in the accounting records underlying the financial statements.

7. The financial statement misstatements relating to accounts and disclosures identified and discussed with us in the course of the audit that are listed immediately below have not been corrected. In our opinion, the effects of not correcting such identified financial statement misstatements are, both individually and in the aggregate, immaterial to the financial statements of CEA taken as a whole.

Uncorrected Misstatements

Item #	Account Name and Adjustment Description	Debit	Credit	Impact on Net Position
PAJE-1	Subscription Asset (SBITA) Subscription Liability (SBITA)	\$672,135.00	\$672,135.00	\$0.00
Current year cumulative uncorrected misstatements				\$0.00
Cumulative effect of prior period uncorrected misstatements brought forward from last period				\$0.00
Cumulative uncorrected misstatements adjusted for prior period impact				\$0.00

8. We acknowledge our responsibility for the design, implementation, and maintenance of internal control to prevent and detect fraud or noncompliance. We have disclosed to you the results of our assessment of the risk that the financial statements may be materially misstated as a result of fraud or noncompliance. We have no knowledge of any:
- Fraud or suspected fraud involving management or involving employees who have significant roles in internal control, whether or not perceived to have a material effect on the financial statements.
 - Fraud or suspected fraud involving others where the fraud could have a material effect on the financial statements.
 - Allegations of fraud or suspected fraud affecting CEA received in communications from employees, former employees, regulatory agencies, law firms, predecessor accounting firms, or others
 - Instances of noncompliance or suspected noncompliance with provisions of laws, regulations, contracts or grant agreements, or abuse, whose effects should be considered when preparing the financial statements.
9. We have no plans or intentions that may materially affect the carrying value or classification of assets, deferred outflows of resources, liabilities, deferred inflows of resources, and fund balance or net position.
10. The following, where applicable and material, have been properly recorded or disclosed in the financial statements:
- The identity of all related parties and all related party relationships and transactions of which we are aware, including revenues, expenses, loans, transfers, leasing arrangements, and guarantees, and amounts receivable from or payable to related parties.
 - Arrangements with financial institutions involving compensating balances or other arrangements involving restrictions on cash balances and line-of-credit or similar arrangements.
 - Guarantees, whether written or oral, under which CEA is contingently liable.
 - Significant estimates and material concentrations known to management that are required to be disclosed in accordance accounting principles generally accepted in the United States of America. In that regard, all accounting estimates that could be material to the financial statements, including key factors and significant assumptions underlying those estimates, have been identified, and we believe the estimates are reasonable in the circumstances. The methods, significant assumptions, and the data used in making the accounting estimates and the related disclosures are appropriate to achieve recognition, measurement, and disclosure that is in accordance with accounting principles generally accepted in the United States of America.
 - The effects of all known actual or possible litigation, claims, and other liabilities or gain or loss contingencies that are required to be accrued or disclosed by accounting principles generally accepted in the United States of America, including:
 - Pending or anticipated tax refunds, other potential or pending claims, lawsuits by or against any branch of government or others;

- Written or oral guarantees, endorsements, or unused letters of credit;
- Unusual guarantees; or
- Labor claims or negotiations.

Accounting principles generally accepted in the United States of America require loss contingencies to be accrued if it is probable an asset has been impaired or a liability incurred at the statement of financial position date and the amount of loss can be reasonably estimated. Such contingencies must be disclosed, but may not be accrued, if the loss is reasonably possible (but not probable) or the loss is probable but the amount of loss cannot be reasonably estimated.

- f) Commitments, such as:
 - Major capital asset purchase agreements;
 - More-than-one-year employment arrangements or contracts with suppliers or customers, or one-year-or-longer term leases;
 - Deferred compensation, bonuses, pensions plans, or severance pay; or
 - Pending sale or merger of all or a portion of the business or of an interest therein or acquisition of all or a portion of the business, assets or securities of another entity;
 - g) Joint ventures or other participations, the detailed transactions of which are not carried on our books.
11. There are no:
 - a) Violations or possible violations of budget ordinances, laws or regulations (including those pertaining to adopting, approving, and amending budgets) and provisions of contracts and grant agreements, tax or debt limits, and any related debt covenants whose effects could be material to the financial statements whose effects should be considered for disclosure in the financial statements or as a basis for recording a loss contingency.
 - b) Unasserted claims or assessments that our lawyer has advised us are probable of assertion and must be disclosed in accordance with accounting principles generally accepted in the United States of America
 - c) Side agreements or other arrangements that have not been disclosed to you.
 - d) Restrictions of net position that were not properly authorized and approved, or reclassifications of net position that have not been properly reflected in the financial statements.
 12. CEA has satisfactory title to all owned assets, and there are no liens or encumbrances on such assets nor has any asset been pledged as collateral.
 13. No discussions have taken place with your firm's personnel regarding employment with the Government.
 14. Components of net position and classifications of fund balance are properly classified.
 15. Required supplementary information is measured and presented in accordance with prescribed guidelines.
 16. There have been no known or suspected breaches of sensitive information caused by cyber-attack or other means, or other cybersecurity incidents, where the breach or other incident could have a material effect on the financial statements.
 17. We have appropriately identified, recorded, and disclosed all leases in accordance with GASB Statement No. 87.
 18. We have appropriately disclosed or recognized conduit debt obligations and/or certain arrangement associated with conduit debt obligations in accordance with GASB Statement No. 91.
 19. We have appropriately identified, recorded, and disclosed public-private and public-public partnerships and availability payment arrangements in accordance with GASB Statement No. 94.

20. We have appropriately identified, recorded, and disclosed material subscription-based information technology arrangements in accordance with GASB Statement No. 96.
21. We have appropriately measured, recorded, and disclosed compensated absences and other salary-related payments in accordance with GASB Statement No. 101.
22. To the best of our knowledge and belief, no events, have occurred subsequent to the statement of financial position date and through the date of this representation letter, as entered on the first page, that would require adjustment to or disclosure in the aforementioned financial statements.



Andrew Stern, Chief Financial Officer
Clean Energy Alliance



Mike Maher, Accountant

Item 10: CONFERENCE WITH LEGAL COUNSEL - ANTICIPATED LITIGATION

Whether to initiate litigation pursuant to Government Code section 54956.9(d)(2)

Number of Cases: 1